

Federal Energy Regulatory Commission  
Washington, D.C. 20426

June 26, 2020

Re: FOIA FY19-30 (Rolling)  
Eighth Response Letter – Denial

**VIA ELECTRONIC MAIL ONLY**

Michael Mabee



[CivilDefenseBook@gmail.com](mailto:CivilDefenseBook@gmail.com)

Dear Mr. Mabee:

This is a rolling response to your correspondence received on January 16, 2019, in which you requested information pursuant to the Freedom of Information Act (FOIA), and the Federal Energy Regulatory Commission's (Commission) FOIA regulations. *See* 5 U.S.C. § 552 (2018); 18 C.F.R. § 388.108 (2020). Your request is for the names of the Unidentified Registered Entities (UREs) associated with various public dockets, including: NP11-5; NP11-146; NP11-162; NP11-174; NP11-175; NP11-176; NP11-179; NP11-180; NP11-182 and NP11-184.<sup>1</sup> Based on staff's internal assessment, disclosure of the UREs associated with these dockets is not appropriate. Accordingly, as discussed further below, the identities will be withheld pursuant to Exemption 3 and Exemption 7(F).

Identities of UREs

Before making a determination as to whether this information is appropriate for release under FOIA, a case-by-case assessment of the requested information must consider the following: the nature of the Critical Infrastructure Protection (CIP) violation, including whether there is a Technical Feasibility Exception involved that does not allow the Unidentified Registered Entity to fully meet the CIP requirements; whether vendor-related information is contained in the Notices of Penalty (NOP); whether mitigation is complete; the content of the public and non-public versions of the NOP; the extent to which the disclosure of the identity of the URE and other information would be useful to someone seeking to cause harm; whether a successful audit has occurred since the violation(s); whether the violation(s) was administrative or technical in nature; and the length of time that has elapsed since the filing of the public NOP. An application of these

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<sup>1</sup> As you are aware, given the volume of dockets in your request, this FOIA response will be processed on a rolling basis.

factors will dictate whether a particular FOIA exemption, including 7(F) and/or Exemption 3, is appropriate. *See Garcia v. U.S. DOJ*, 181 F. Supp. 2d 356, 378 (S.D.N.Y. 2002) (“In evaluating the validity of an agency's invocation of Exemption 7(F), the court should within limits, defer to the agency's assessment of danger.”) (citation and internal quotations omitted).

Based on the application of the various factors discussed above, I conclude that disclosing the identities of the UREs associated with these dockets, would create a risk of harm or detriment to life, physical safety, or security because the specified UREs could become the target of a potentially bad actor. Therefore, the information is protected from disclosure under FOIA Exemption 7(F). *See* 5 U.S.C. § 552(b)(7)(F) (protecting law enforcement information where release “could reasonably be expected to endanger the life or physical safety of any individual.”). Additionally, the information is protected under FOIA Exemption 3. *See* the Fixing America's Surface Transportation Act, Pub. L. No. 114-94, § 61003 (2015) (specifically exempting the disclosure of CEII and establishing applicability of FOIA Exemption 3, 5 U.S.C. § 552(b)(3)). Accordingly, the names of the UREs associated with the foregoing dockets will not be disclosed.

On November 18, 2019, you filed suit in the U.S. District Court for the District of Columbia asserting claims in connection with this FOIA request. *See Mabee v. Fed. Energy Reg. Comm'n.*, Civil Action No. 19-3448 (KBJ) (D.D.C.). Because this FOIA request is currently in litigation, this letter does not contain information regarding administrative appeal of the response to the FOIA request.

For any further assistance or to discuss any aspect of your request, you may contact Assistant United States Attorney Paul A. Mussenden by email at paul.mussenden@usdoj.gov, by phone at (202) 252-7874, or by mail at United States Attorney's Office – Civil Division, U.S. Department of Justice, 555 Fourth Street, N.W., Washington, DC 20530.

Sincerely,

*Craig S. Cano for*

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