

Dockets With Expired CEII Designations

Date	FERC Docket Number	Region	Registered Entity	Entities	Total Penalty (\$)	NOP	Order	A2 Spreadsheet	Years
7/6/2010	NP10-130-000	SERC	Unidentified Registered Entity	1	\$0	View NOP	View Order		8.6
7/6/2010	NP10-131-000	SERC	Unidentified Registered Entity	1	\$5,000	View NOP	View Order		8.6
7/6/2010	NP10-134-000	SPP	Unidentified Registered Entity	1	\$0	View NOP	View Order		8.6
7/6/2010	NP10-135-000	WECC	Unidentified Registered Entity	1	\$8,000	View NOP	View Order		8.6
7/6/2010	NP10-136-000	WECC	Unidentified Registered Entity	1	\$7,000	View NOP	View Order		8.6
7/6/2010	NP10-137-000	WECC	Unidentified Registered Entity	1	\$39,000	View NOP	View Order		8.6
7/6/2010	NP10-138-000	RFC	Unidentified Registered Entity	1	\$5,000	View NOP	View Order		8.6
7/6/2010	NP10-139-000	WECC	Unidentified Registered Entity	1	\$3,000	View NOP	View Order		8.6
7/6/2010	NP10-140-000	RFC	Unidentified Registered Entity	1	\$5,600	View NOP	View Order	View Data request	8.6
7/30/2010	NP10-159-000	WECC	Unidentified Registered Entity	1	\$109,000	View NOP	View Order		8.6
9/13/2010	NP10-160-000	WECC	Unidentified Registered Entity	1	\$0	View NOP	View Order 1	NERC Filing	8.4
10/7/2010	NP11-1-000	WECC	Unidentified Registered Entity	1	\$106,000	View NOP	View Order		8.4
10/7/2010	NP11-2-000	WECC	Unidentified Registered Entity	1	\$9,000	View NOP	View Order		8.4
10/7/2010	NP11-3-000	SERC	Unidentified Registered Entity	1	\$6,000	View NOP	View Order	View Data Request	8.4
10/7/2010	NP11-4-000	FRCC	Unidentified Registered Entity	1	\$250,000	View NOP	View Order		8.4
10/7/2010	NP11-5-000	SERC	Unidentified Registered Entity	1	\$16,000	View NOP	View Order	View Data Request	8.4
11/5/2010	NP11-21-000	RFC	Unidentified Registered Entity	1	\$8,000	View NOP	View Order		8.3
11/5/2010	NP11-22-000	SERC	Unidentified Registered Entity	1	\$5,000	View NOP	View Order		8.3
11/30/2010	NP11-47-000	SERC	Unidentified Registered Entity	1	\$0	View NOP	View Order		8.2
11/30/2010	NP11-56-000	SERC	Unidentified Registered Entity	1	\$0	View NOP	View Order		8.2
12/22/2010	NP11-59-000	RFC	Unidentified Registered Entity	1	\$7,000	View NOP	View Extension		8.2
12/22/2010	NP11-63-000	WECC	Unidentified Registered Entity	1	\$80,000	View NOP	View Order		8.2
12/22/2010	NP11-64-000	WECC	Unidentified Registered Entity	1	\$38,500	View NOP	View Order		8.2
12/22/2010	NP11-70-000	WECC	Unidentified Registered Entity	1	\$55,000	View NOP	View Order		8.2
12/22/2010	NP11-72-000	SERC	Unidentified Registered Entity	1	\$2,000	View NOP	View Order		8.2
12/22/2010	NP11-76-000	SERC	Unidentified Registered Entity	1	\$0	View NOP	View Order		8.2
12/22/2010	NP11-79-000	FRCC	Unidentified Registered Entity	1	\$100,000	View NOP	View Order		8.2
12/22/2010	NP11-81-000	MRO, SPP	Unidentified Registered Entities	2	\$50,000	View NOP	View Order		8.2
1/31/2011	NP11-102-000	WECC	Unidentified Registered Entity	1	\$6,500	View NOP >>	View Order >>		8.1
1/31/2011	NP11-98-000	WECC	Unidentified Registered Entity	1	\$5,000	View NOP >>	View Order >>		8.1
2/1/2011	NP11-104-000	Various	Unidentified Registered Entities	6	\$9,300	View NOP >>	View Order >>	View A-2 Spreadsheet >>	8.1
2/23/2011	NP11-106-000	RFC	Unidentified Registered Entity	1	\$15,000	View NOP >>	View Order >>		8.0
2/23/2011	NP11-111-000	MRO	Unidentified Registered Entity	1	\$120,000	View NOP >>	View Order >>		8.0
2/23/2011	NP11-116-000	FRCC	Unidentified Registered Entity	1	\$75,000	View NOP >>	View Order >>		8.0
2/23/2011	NP11-124-000	RFC	Unidentified Registered Entity	1	\$100,000	View NOP >>	View Order >>		8.0
2/23/2011	NP11-125-000	SPP, RFC	Unidentified Registered Entity	1	\$77,000	View NOP >>	View Order >>		8.0
2/23/2011	NP11-127-000	FRCC	Unidentified Registered Entity	1	\$55,000	View NOP >>	View Order >>		8.0
2/23/2011	NP11-128-000	WECC	Unidentified Registered Entity	1	\$450,000	View NOP >>	View Order >>		8.0
2/28/2011	NP11-133-000	Various	Unidentified Registered Entities	5	\$11,500	View NOP >>	View Order >>	View A-2 Spreadsheet >>	8.0
3/30/2011	NP11-136-000	WECC	Unidentified Registered Entity	1	\$14,500	View NOP >>	View Order >>		7.9
3/30/2011	NP11-137-000	WECC	Unidentified Registered Entity	1	\$106,000	View NOP >>	View Order >>		7.9
3/30/2011	NP11-140-000	WECC	Unidentified Registered Entity	1	\$27,000	View NOP >>	View Order >>		7.9
3/30/2011	NP11-143-000	SERC	Unidentified Registered Entity	1	\$5,000	View NOP >>	View Order >>		7.9
3/30/2011	NP11-145-000	WECC	Unidentified Registered Entity	1	\$13,000	View NOP >>	View Order >>		7.9
3/30/2011	NP11-146-000	RFC	Unidentified Registered Entities	3	\$52,500	View NOP >>	View Order >>		7.9
3/30/2011	NP11-149-000	RFC	Unidentified Registered Entity	1	\$20,000	View NOP >>	View Order >>		7.9
3/30/2011	NP11-150-000	MRO	Unidentified Registered Entity	1	\$0	View NOP >>	View Order >>		7.9
3/30/2011	NP11-155-000	WECC	Unidentified Registered Entity	1	\$2,000	View NOP >>	View Order >>		7.9

Dockets With Expired CEII Designations

Date	FERC Docket Number	Region	Registered Entity	Entities	Total Penalty (\$)	NOP	Order	A2 Spreadsheet	Years
3/30/2011	NP11-156-000	SERC	Unidentified Registered Entity	1	\$12,500	View NOP >>	View Order >>		7.9
3/30/2011	NP11-157-000	SERC	Unidentified Registered Entity	1	\$7,000	View NOP >>	View Order >>		7.9
3/30/2011	NP11-161-000	WECC	Unidentified Registered Entity	1	\$35,000	View NOP >>	View Order >>		7.9
3/31/2011	NP11-162-000	TRE, NPCC	Unidentified Registered Entities	2	\$10,500	View NOP >>	View Order >>	View A-2 Spreadsheet >>	7.9
4/29/2011	NP11-166-000	SPP, TRE	Unidentified Registered Entity	1	\$50,000	View NOP >>	View Order >>		7.8
4/29/2011	NP11-167-000	WECC	Unidentified Registered Entity	1	\$89,000	View NOP >>	View Order >>		7.8
4/29/2011	NP11-174-000	RFC	Unidentified Registered Entity	1	\$15,000	View NOP >>	View Order >>		7.8
4/29/2011	NP11-175-000	WECC	Unidentified Registered Entity	1	\$32,000	View NOP >>	View Order >>		7.8
4/29/2011	NP11-176-000	WECC	Unidentified Registered Entity	1	\$80,000	View NOP >>	View Order >>		7.8
4/29/2011	NP11-178-000	WECC	Unidentified Registered Entity	1	\$35,000	View NOP >>	View Order >>		7.8
4/29/2011	NP11-179-000	MRO	Unidentified Registered Entity	1	\$10,000	View NOP >>	View Order >>		7.8
4/29/2011	NP11-180-000	WECC	Unidentified Registered Entity	1	\$71,500	View NOP >>	View Order >>		7.8
4/29/2011	NP11-181-000	FRCC, NPCC	Unidentified Registered Entities	6	\$39,500	View NOP >>	View Order >>	View A-2 Spreadsheet >>	7.8
5/26/2011	NP11-182-000	WECC	Unidentified Registered Entity	1	\$59,000	View NOP >>	View Order >>		7.7
5/26/2011	NP11-184-000	RFC	Unidentified Registered Entity	1	\$70,000	View NOP >>	View Order >>	View Data Request >>	7.7
5/26/2011	NP11-188-000	SPP	Unidentified Registered Entity	1	\$16,860	View NOP >>	View Order >>		7.7
5/26/2011	NP11-189-000	FRCC	Unidentified Registered Entity	1	\$17,000	View NOP >>	View Order >>		7.7
5/26/2011	NP11-192-000	WECC	Unidentified Registered Entity	1	\$12,200	View NOP >>	View Order >>		7.7
5/26/2011	NP11-193-000	WECC	Unidentified Registered Entity	1	\$60,000	View NOP >>	View Order >>		7.7
5/26/2011	NP11-198-000	SPP	Unidentified Registered Entity	1	\$17,860	View NOP >>	View Order >>		7.7
5/26/2011	NP11-199-000	Various	Unidentified Registered Entities	3	\$3,500	View NOP >>	View Order >>	View A-2 Spreadsheet >>	7.7
6/29/2011	NP11-204-000	WECC	Unidentified Registered Entity	1	\$37,500	View NOP >>	View Order >>		7.6
6/29/2011	NP11-205-000	WECC	Unidentified Registered Entity	1	\$22,000	View NOP >>	View Order >>		7.6
6/29/2011	NP11-206-000	NPCC	Unidentified Registered Entity	3	\$80,000	View NOP >>	View Order >>	View Supplemental >>	7.6
6/29/2011	NP11-211-000	WECC	Unidentified Registered Entity	1	\$14,000	View NOP >>	View Order >>		7.6
6/29/2011	NP11-212-000	WECC	Unidentified Registered Entity	1	\$381,600	View NOP >>	View Order >>		7.6
6/29/2011	NP11-213-000	WECC	Unidentified Registered Entity	1	\$143,500	View NOP >>	View Order >>	View Supplemental >>	7.6
6/29/2011	NP11-218-000	WECC	Unidentified Registered Entity	1	\$130,000	View NOP >>	View Order >>		7.6
6/29/2011	NP11-223-000	SPP	Unidentified Registered Entity	1	\$30,000	View NOP >>	View Order >>		7.6
6/29/2011	NP11-225-000	RFC	Unidentified Registered Entity	1	\$10,000	View NOP >>	View Order >>		7.6
6/29/2011	NP11-226-000	RFC	Unidentified Registered Entity	1	\$85,000	View NOP >>	View Order >>		7.6
7/28/2011	NP11-229-000	WECC	Unidentified Registered Entity	1	\$75,000	View NOP >>	View Order >>		7.6
7/28/2011	NP11-230-000	RFC	Unidentified Registered Entity	1	\$18,000	View NOP >>	View Order >>		7.6
7/28/2011	NP11-233-000	WECC	Unidentified Registered Entity	1	\$70,000	View NOP >>	View Order >>		7.6
7/28/2011	NP11-234-000	WECC	Unidentified Registered Entity	1	\$35,000	View NOP >>	View Order >>		7.6
7/28/2011	NP11-237-000	RFC	Unidentified Registered Entity	3	\$180,000	View NOP >>	View Order >>		7.6
7/28/2011	NP11-243-000	RFC	Unidentified Registered Entity	1	\$20,000	View NOP >>	View Order >>		7.6
7/28/2011	NP11-247-000	RFC	Unidentified Registered Entity	1	\$15,000	View NOP >>	View Order >>		7.6
7/28/2011	NP11-248-000	WECC	Unidentified Registered Entity	1	\$5,000	View NOP >>	View Order >>		7.6
7/28/2011	NP11-249-000	WECC	Unidentified Registered Entity	1	\$18,000	View NOP >>	View Order >>		7.6
7/28/2011	NP11-250-000	WECC	Unidentified Registered Entity	1	\$12,600	View NOP >>	View Order >>		7.6
7/28/2011	NP11-251-000	WECC	Unidentified Registered Entity	1	\$7,000	View NOP >>	View Order >>		7.6
7/29/2011	NP11-253-000	Various	Unidentified Registered Entities	8	\$26,500	View NOP >>	View Order >>	View A-2 Spreadsheet >>	7.6
8/31/2011	NP11-261-000	RFC	Unidentified Registered Entity	1	\$70,000	View NOP >>	View Order >>		7.5
8/31/2011	NP11-262-000	SPP	Unidentified Registered Entity	1	\$12,000	View NOP >>	View Order >>		7.5
8/31/2011	NP11-263-000	TRE	Unidentified Registered Entity	1	\$11,000	View NOP >>	View Order >>		7.5
8/31/2011	NP11-264-000	SPP	Unidentified Registered Entity	1	\$8,000	View NOP >>	View Order >>		7.5
8/31/2011	NP11-266-000	Various	Unidentified Registered Entities	5	\$63,500	View NOP >>	View Order >>	View A-2 Spreadsheet >>	7.5

Dockets With Expired CEII Designations

Date	FERC Docket Number	Region	Registered Entity	Entities	Total Penalty (\$)	NOP	Order	A2 Spreadsheet	Years
9/30/2011	NP11-269-000	WECC	Unidentified Registered Entity	1	\$225,000	View NOP >>	View Order >>		7.4
9/30/2011	NP11-270-000	Various	Unidentified Registered Entities	21	\$193,900	View NOP >>	View Order >>	View A-2 Spreadsheet >>	7.4
9/30/2011	RC11-6-000	Various	Unidentified Registered Entities	59	\$0	View Filing >>	View Order >>	View A-1 Spreadsheet >>	7.4
10/31/2011	NP12-1-000	RFC	Unidentified Registered Entities	3	\$275,000	View Filing >>	View Order >>	View A-2 Corrected Spreadsheet >>	7.3
10/31/2011	NP12-2-000	Various	Unidentified Registered Entities	16	\$184,200	View Filing >>	View Order >>	View A-2 Spreadsheet >>	7.3
10/31/2011	RC12-1-000	Various	Unidentified Registered Entities	33	\$0	View Filing >>	View Order >>	View A-1 Spreadsheet >>	7.3
11/30/2011	NP12-3-000	WECC	Unidentified Registered Entity	1	\$125,000	View NOP >>	View Order >>		7.2
11/30/2011	NP12-4-000	WECC	Unidentified Registered Entity	1	\$160,000	View NOP >>	View Order >>		7.2
11/30/2011	NP12-5-000	RF, WECC	Unidentified Registered Entities	12	\$89,000	View Filing >>	View Order >>	View A-2 Spreadsheet >>	7.2
11/30/2011	RC12-2-000	Various	Unidentified Registered Entities	30	\$0	View Filing >>	View Order >>	View A-1 Spreadsheet >>	7.2
12/30/2011	NP12-10-000	Various	Unidentified Registered Entities	21	\$109,600	View Filing >>	View Order >>	View A-2 Corrected Spreadsheet >>	7.1
12/30/2011	NP12-9-000	RFC	Unidentified Registered Entity	1	\$60,000	View NOP >>	View Order >>		7.1
12/30/2011	RC12-6-000	Various	Unidentified Registered Entities	40	\$0	View Filing >>	View Order >>	View A-1 Spreadsheet >>	7.1
1/31/2012	NP12-11-000	WECC	Unidentified Registered Entity	1	\$135,000	View NOP >>	View Order >>		7.1
1/31/2012	NP12-12-000	Various	Unidentified Registered Entities	18	\$160,500	View Filing >>	View Order >>	View A-2 Spreadsheet >>	7.1
1/31/2012	RC12-7-000	Various	Unidentified Registered Entities	30	\$0	View Filing >>	View Order >>		7.1
2/29/2012	NP12-16-000	WECC	Unidentified Registered Entity	1	\$80,000	Filing			7.0
2/29/2012	NP12-17-000	SPP RE	Unidentified Registered Entity	1	\$40,000	Filing			7.0
2/29/2012	NP12-18-000	Various	Unidentified Registered Entities	23	\$222,900	View Filing >>	View Order >>	View A-2 Spreadsheet >>	7.0
2/29/2012	RC12-8-000	Various	Unidentified Registered Entities	24	\$0	View Filing >>	View Order >>	View A-1 Spreadsheet >>	7.0
3/30/2012	NP12-20-000	WECC	Unidentified Registered Entity	1	\$60,000	View NOP >>	View Order >>		6.9
3/30/2012	NP12-22-000	Various	Unidentified Registered Entities	15	\$42,000	View NOP >>	View Order >>	View A-2 Spreadsheet >>	6.9
3/30/2012	RC12-10-000	Various	Unidentified Registered Entities	12	\$0	View Filing >>		View A-2 Spreadsheet >>	6.9
4/30/2012	NP12-25-000	RFC	Unidentified Registered Entity	1	\$115,000	View NOP >>	View Order >>		6.8
4/30/2012	NP12-26-000	Various	Unidentified Registered Entities	18	\$95,300	View NOP >>	View Order >>	View A-2 Spreadsheet >>	6.8
4/30/2012	RC12-11-000	Various	Unidentified Registered Entities	18	\$0	View Supplemental Filing >>		View A-2 Spreadsheet >>	6.8
5/30/2012	NP12-27-000	Various	Unidentified Registered Entities	20	\$48,600	View NOP >>	View Order >>	View A-2 Spreadsheet >>	6.7
5/30/2012	NP12-29-000	WECC	Unidentified Registered Entity	1	\$162,200	View NOP >>	View Order >>		6.7
5/30/2012	RC12-12-000	Various	Unidentified Registered Entities	40	\$0	View Filing >>		View A-2 Spreadsheet >>	6.7
6/29/2012	NP12-36-000	Various	Unidentified Registered Entities	15	\$121,900	View NOP >>	View Order >>	View A-2 Spreadsheet >>	6.6
6/29/2012	RC12-13-000	Various	Unidentified Registered Entities	40	\$0	View Filing >>		View A-2 Spreadsheet >>	6.6
7/31/2012	NP12-37-000	WECC	Unidentified Registered Entities	4	\$134,350	View NOP >>	View Order >>		6.6
7/31/2012	NP12-38-000	WECC	Unidentified Registered Entity	1	\$72,000	Filing	View Order >>		6.6
7/31/2012	NP12-40-000	Various	Unidentified Registered Entities	15	\$101,100	Filing	View Order >>	View A-2 Spreadsheet >>	6.6
7/31/2012	RC12-14-000	Various	Unidentified Registered Entities	30	\$0	View Filing >>		View A-2 Spreadsheet >>	6.6
8/31/2012	NP12-43-000	WECC	Unidentified Registered Entity	1	\$70,000	View NOP >>	View Order >>		6.5
8/31/2012	NP12-44-000	Various	Unidentified Registered Entities	16	\$182,800	View NOP >>	View Order >>	View A-2 Spreadsheet >>	6.5
8/31/2012	RC12-15-000	Various	Unidentified Registered Entities	38	\$0	View Filing >>	View Order >>	View A-2 Spreadsheet >>	6.5
9/28/2012	NP12-45-000	FRCC	Unidentified Registered Entity	1	\$150,000	View NOP >>	View Order >>		6.4
9/28/2012	NP12-46-000	WECC	Unidentified Registered Entity	1	\$200,000	View NOP >>	View Order >>		6.4
9/28/2012	NP12-47-000	Various	Unidentified Registered Entities	14	\$113,400	View NOP >>	View Order >>	View A-2 Spreadsheet >>	6.4
9/28/2012	RC12-16-000	Various	Unidentified Registered Entities	41	\$0	View Filing >>		View A-2 Spreadsheet >>	6.4
10/31/2012	NP13-1-000	WECC	Unidentified Registered Entity	1	\$200,000	View NOP >>	View Order >>		6.3
10/31/2012	NP13-4-000	RFC	Unidentified Registered Entities	3	\$725,000	View NOP >>	View Order >>		6.3
10/31/2012	NP13-5-000	Various	Unidentified Registered Entities	19	\$216,000	View NOP >>	View Order >>	View A-2 Spreadsheet >>	6.3
10/31/2012	RC13-1-000	Various	Unidentified Registered Entities	44	\$0	View Filing >>		View A-2 Spreadsheet >>	6.3
11/30/2012	NP13-6-000	WECC	Unidentified Registered Entity	1	\$62,500	View NOP >>	View Order >>		6.2
11/30/2012	RC13-2-000	Various	Unidentified Registered Entities	25	\$0	View Filing >>		View A-2 Spreadsheet >>	6.2

Dockets With Expired CEII Designations

Date	FERC Docket Number	Region	Registered Entity	Entities	Total Penalty (\$)	NOP	Order	A2 Spreadsheet	Years
12/31/2012	NP13-11-000	SPP	Unidentified Registered Entity	1	\$107,000	View NOP >>	View NOP >>		6.1
12/31/2012	NP13-12-000	Various	Unidentified Registered Entities	21	\$214,000	View Filing >>	View Order >>	View A-2 Spreadsheet >>	6.1
12/31/2012	NP13-16-000	WECC	Unidentified Registered Entity	1	\$207,000	View NOP >>	View NOP >>		6.1
12/31/2012	NP13-17-000	RFC	Unidentified Registered Entities	3	\$80,000	View NOP >>	View Order >>		6.1
12/31/2012	NP13-18-000	SPP	Unidentified Registered Entity	1	\$153,000	View NOP >>	View NOP >>		6.1
12/31/2012	NP13-19-000	SERC	Unidentified Registered Entity	1	\$950,000	View NOP >>	View NOP >>		6.1
12/31/2012	RC13-3-000	Various	Unidentified Registered Entities	25	\$0	View Filing >>		View A-2 Spreadsheet >>	6.1
1/31/2013	NP13-22-000	WECC	Unidentified Registered Entity	1	\$115,000	View NOP >>	View Order >>		6.1
1/31/2013	NP13-23-000	Various	Unidentified Registered Entities	22	\$73,000	View Filing >>	View Order >>	View A-2 Spreadsheet >>	6.1
1/31/2013	RC13-5-000	Various	Unidentified Registered Entities	22	\$0	View Filing >>		View A-2 Spreadsheet >>	6.1
2/28/2013	NP13-24-000	WECC	Unidentified Registered Entity	3	\$151,500	View NOP >>	View Order >>		6.0
2/28/2013	NP13-27-000	Various	Unidentified Registered Entities	14	\$53,000	View Filing >>	View Order >>	View A-2 Spreadsheet >>	6.0
2/28/2013	RC13-6-000	Various	Unidentified Registered Entities	27	\$0	View Filing >>		View A-2 Spreadsheet >>	6.0
3/27/2013	NP13-30-000	RFC	Unidentified Registered Entity	3	\$120,000	View NOP >>	View Order >>	View Errata>>	5.9
3/27/2013	NP13-28-000	Various	Unidentified Registered Entity	1	\$90,000	View NOP >>	View Order >>		5.9
3/27/2013	NP13-29-000	Various	Unidentified Registered Entities	10	\$80,000	View Filing >>	View Order >>	View A-2 Spreadsheet >>	5.9
4/30/2013	NP13-32-000	NERC	Unidentified Registered Entity	1	\$40,000	View NOP >>	View Order >>		5.8
4/30/2013	NP13-33-000	Various	Unidentified Registered Entities	18	\$315,250	View Filing >>	View Order >>	View A-2 Spreadsheet >>	5.8
4/30/2013	RC13-8-000	Various	Unidentified Registered Entities	50	\$0	View Filing >>		View A-2 Spreadsheet >>	5.8
5/30/2013	NP13-34-000	Texas RE	Unidentified Registered Entity	1	\$137,000	View NOP >>	View Order >>	View Errata >>	5.7
5/30/2013	NP13-38-000	WECC	Unidentified Registered Entity	1	\$291,000	View NOP >>	View Order >>		5.7
5/30/2013	NP13-39-000	Various	Unidentified Registered Entities	16	\$67,500	View Filing >>	View Order >>	View A-2 Spreadsheet >>	5.7
5/30/2013	RC13-9-000	Various	Unidentified Registered Entities	53	\$0	View Filing >>		View A-2 Spreadsheet >>	5.7
6/27/2013	NP13-41-000	Various	Unidentified Registered Entities	20	\$198,000	View Filing >>	View Order >>	View A-2 Spreadsheet >>	5.7
6/27/2013	RC13-10-000	Various	Unidentified Registered Entities	52	\$0	View Filing >>		View A-2 Spreadsheet >>	5.7
7/31/2013	NP13-45-000	WECC	Unidentified Registered Entity	1	\$198,000	View NOP >>	View Order>>		5.6
7/31/2013	NP13-46-000	Various	Unidentified Registered Entities	18	\$112,000	View Filing >>	View Order>>	View A-2 Spreadsheet >>	5.6
7/31/2013	NP13-47-000	RFC, SERC	Unidentified Registered Entities	2	\$350,000	View NOP >>	View Order>>		5.6
8/30/2013	NP13-51-000	Various	Unidentified Registered Entities	18	\$98,000	View Filing >>	View Order>>		5.5
9/30/2013	NP13-55-000	WECC	Unidentified Registered Entity	1	\$150,000	View NOP>>	View Order>>		5.4
9/30/2013	NP13-57-000	Various	Unidentified Registered Entities	12	\$189,000	View Filing >>	View Order>>	View A-2 Spreadsheet >>	5.4
10/30/2013	NP14-4-000	RF, SERC	Unidentified Registered Entities	16	\$55,000	View Filing >>	View Order>>	View A-2 Spreadsheet >>	5.3
10/30/2013	NP14-5-000	RFC	Unidentified Registered Entity	1	\$0	View NOP>>	View Order>>		5.3
11/27/2013	NP14-6-000	Various	Unidentified Registered Entities	14	\$142,000	View Filing >>	View Order>>	View A-2 Spreadsheet >>	5.2
12/30/2013	NP14-14-000	Various	Unidentified Registered Entities	18	\$276,500	View Filing >>	View Order>>	View A-2 Spreadsheet >>	5.1
12/30/2013	NP14-16-000	SERC	Unidentified Registered Entity	1	\$50,000	View NOP>>	View Order>>		5.1
12/30/2013	NP14-17-000	WECC	Unidentified Registered Entity	1	\$144,000	View NOP>>	View Order>>		5.1
12/30/2013	NP14-18-000	SERC	Unidentified Registered Entity	1	\$110,000	View NOP>>	View Order>>		5.1
12/30/2013	NP14-19-000	WECC	Unidentified Registered Entity	1	\$185,000	View NOP>>	View Order>>	View Errata>>	5.1
12/30/2013	NP14-20-000	SERC	Unidentified Registered Entity	1	\$198,000	View NOP>>	View Order>>		5.1
12/30/2013	NP14-22-000	WECC	Unidentified Registered Entity	1	\$150,000	View NOP>>	View Order>>		5.1
12/31/2013	NP14-21-000	SERC	Unidentified Registered Entity	1	\$175,000	View NOP>>	View Order>>		5.1
12/31/2013	NP14-23-000	SPP RE	Unidentified Registered Entity	1	\$100,000	View NOP>>	View Order>>		5.1
12/31/2013	NP14-24-000	SERC	Unidentified Registered Entity	1	\$350,000	View NOP>>	View Order>>		5.1
12/31/2013	NP14-25-000	SERC	Unidentified Registered Entity	1	\$250,000	View NOP>>	View Order>>		5.1
12/31/2013	NP14-26-000	SERC	Unidentified Registered Entity	1	\$120,000	View NOP>>	View Order>>		5.1
1/30/2014	NP14-29-000	WECC	Unidentified Registered Entity	1	\$109,000	View NOP	View Order		5.1
1/30/2014	NP14-30-000	RFC	Unidentified Registered Entity	1	\$75,000	View NOP	View Order		5.1

Michael Mabee

(516) 808-0883

CivilDefenseBook@gmail.com

April 1, 2019

Leonard Tao,
Director and Chief FOIA Officer
Federal Energy Regulatory Commission
888 First Street, NE
Washington, DC 20426

Subject: Request under the Freedom of Information Act (FOIA), 5 U.S.C. § 552.

Dear Mr. Tao:

I request records under the Freedom of Information Act, which are described below. Further, as more fully set forth below, I also request a fee waiver as I have no commercial interest in the described records and it is in the public interest for the Federal Energy Regulatory Commission (FERC) to disclose these records to the public.

Description of records sought:

On March 28, 2019, three electric industry trade associations¹ filed a Motion to Intervene in numerous FERC dockets including NP19-4-000. In this filing, the following agreement between the FERC and the North American Electric Reliability Corporation (NERC) is described on page 14:

The Trade Associations understand that in 2010 NERC and the Commission intentionally chose to post only the public versions of the Notices of Penalty without the names of the entities to address security concerns because the names, when combined with information on the violations and penalties, were considered CEII. In addition to the entity names, details on

I request copies of any documents that describe such agreement, understanding or arrangement between FERC and NERC in or about 2010 including any memos, directives, reports, emails, notes, FERC/NERC quarterly meeting minutes or any other documents in any way describing this arrangement to withhold the names of entities in Notices of Penalty.

¹ The American Public Power Association (APPA), the Edison Electric Institute (EII), and the National Rural Electric Cooperative Association (NRECA).

Request for Waiver of Fees:

I am a private citizen with expertise in emergency preparedness and critical infrastructure protection. I maintain a blog where I intend to disseminate this information². I have no commercial interest in the requested information.

The Commission has previously found that I am entitled to a waiver of fees as I meet all the requirements of 18 C.F.R. §388.109(c).³ Should you need more specifics, let me know and I will be happy to provide them.

The records may be provided to me electronically at this email address: CivilDefenseBook@gmail.com.

Sincerely,



Michael Mabee

² <https://michaelmabee.info/category/mikes-blog/> (accessed April 1, 2019).

³ See for example FOIA 2018-75, FOIA 2019-19 and FOIA 2019-30.


Federal Energy Regulatory Commission
Washington, D.C. 20426

APR 30 2019

Re: FOIA No. FY19-61,
Response Letter

VIA ELECTRONIC AND REGULAR MAIL

Michael Mabee


CivilDefenseBook@gmail.com

Dear Mr. Mabee:

This is a response to your correspondence received on April 3, 2019, in which you requested information pursuant to the Freedom of Information Act (FOIA), and the Federal Energy Regulatory Commission's (Commission) FOIA regulations, 18 C.F.R. § 388.108 (2019). Specifically, you requested:

...copies of any documents that describe such agreement,¹ understanding or arrangement between FERC and NERC in or about 2010 including any memos, directives, reports, emails, notes, FERC/NERC quarterly meeting minutes or any other document in any way describing this arrangement to withhold the names of entities in Notices of Penalty.

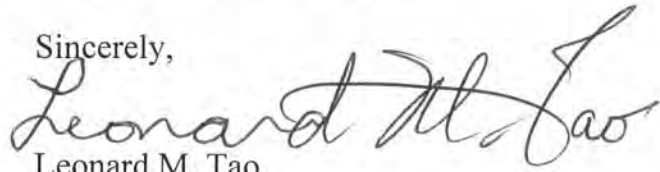
A search of the Commission's non-public files identified no documents responsive to this request. As provided by the FOIA and 18 C.F.R. §388.110(a)(1) of the Commission's regulations, any appeal from this determination must be filed within 90 days of the date of this letter. The appeal must be in writing, addressed to James P. Danly, General Counsel, Federal Energy Regulatory Commission, 888 First Street, NE, Washington, D.C. 20426, and clearly marked "Freedom of Information Act Appeal." Please include a copy to Charles A. Beamon, Associate General Counsel, General and Administrative Law, at the same address.

You have the right to seek dispute resolution services from the FOIA Public Liaison of the agency or the Office of Government Information Services (OGIS). Using OGIS services does not affect your right to pursue your appeal. You may contact OGIS by email at ogis@nara.gov; telephone at (877) 684-6448; facsimile at (301) 837-0348; or by mail to

¹ You explain the agreement is indicated on page 14 of FERC Docket NP19-4-000 and reads as follows: "The Trade Associations understand that in 2010, NERC and the Commission intentionally chose to post only the public versions of the Notices of Penalty without the names of the entities to address security concerns because the names, when combined with the information on the violations and penalties, were considered CEII."

the Office of Government Information Services, National Archives and Records Administration, Room 2510, Adelphi Road, College Park, MD 20740-6001.

Sincerely,

A handwritten signature in cursive script that reads "Leonard M. Tao". The signature is written in black ink and is positioned above the printed name and title.

Leonard M. Tao
Director
Office of External Affairs