

**UNITED STATES OF AMERICA
BEFORE THE
FEDERAL ENERGY REGULATORY COMMISSION**

| | | |
|---|----------|---------------------------|
| Expired CEII Designation in 192 Dockets in |) | |
| NERC Full Notice of Penalty and Spreadsheet |) | See Appendix A |
| NOPs and “Find Fix Track” spreadsheets |) | For Docket Numbers |
| Regarding “Unidentified Registered Entities” |) | |

MOTION TO INTERVENE

Submitted to FERC on February 19, 2019

Michael Mabee, a private citizen, requests the Commission’s leave to intervene in the above captioned docket, pursuant to 18 C.F.R. § 39.7(e)(4). I request that the Commission immediately release all “non-public” documents in the attached list of dockets due to their expired Critical Energy Infrastructure Information (CEII) designations and also due to the circumstance that this information was improperly designated as CEII by the North American Electric Reliability Corporation (NERC) in the first place.

Any Purported CEII Designations Have Expired.

18 CFR § 388.113(e)(1) provides that the designation of Critical Energy/Electric Infrastructure Information (CEII) “may last for up to a five-year period, unless re-designated.” The 192 Commission dockets listed in Appendix A were filed by NERC between July 6, 2010 and January 30, 2014. Each of these actions was filed over 5 years from the date of this Motion and, thus, the CEII assertion by the North American Electric Reliability Corporation (NERC) has expired in each docket.

I note that the regulation requires that: “In making a determination as to whether the designation should be extended, the CEII Coordinator will take into account information provided in response to paragraph (d)(1)(i) of this section, and any other information, as appropriate.”

18 CFR § 388.113(d)(1)(i) provides that, should NERC seek a re-designation of CEII for these dockets, NERC must *for each of these dockets* demonstrate “how the information, or any portion of the information, qualifies as CEII, as the terms are defined in paragraphs (c)(1) and (2) of this section.”¹

18 CFR § 388.113(d)(1)(i) also provides that: “Failure to provide the justification or other required information could result in denial of the designation and release of the information to the public.” Because NERC has failed to seek re-designation for CEII on a timely basis for these 192 dockets, FERC should rule, as a matter of both current and future policy, that NERC has waived any purported interest in CEII re-designation.

Even the Commission’s own interpretation of the Critical Energy Infrastructure Information (CEII) rules support disclosure. I note that FERC Order No. 833 holds that the Commission’s practice is that information that “simply give[s] the general location of the critical infrastructure” or simply provides the name of the facility is not Critical Energy Infrastructure Information (CEII).²

¹ 18 CFR § 388.113(c)(1) defines Critical Electric Infrastructure Information as “information related to critical electric infrastructure, or proposed critical electrical infrastructure, generated by or provided to the Commission or other Federal agency other than classified national security information, that is designated as critical electric infrastructure information by the Commission or the Secretary of the Department of Energy pursuant to section 215A(d) of the Federal Power Act. Such term includes information that qualifies as critical energy infrastructure information under the Commission’s regulations. Critical Electric Infrastructure Information is exempt from mandatory disclosure under the Freedom of Information Act, 5 U.S.C. 552(b)(3) and shall not be made available by any Federal, State, political subdivision or tribal authority pursuant to any Federal, State, political subdivision or tribal law requiring public disclosure of information or records pursuant to section 215A(d)(1)(A) and (B) of the Federal Power Act.”

18 CFR § 388.113(c)(2) defines Critical Energy Infrastructure Information as “specific engineering, vulnerability, or detailed design information about proposed or existing critical infrastructure that:

- (i) Relates details about the production, generation, transportation, transmission, or distribution of energy;
- (ii) Could be useful to a person in planning an attack on critical infrastructure;
- (iii) Is exempt from mandatory disclosure under the Freedom of Information Act, 5 U.S.C. 552; and
- (iv) Does not simply give the general location of the critical infrastructure.”

² FERC Order No. 833 at pg. 17. Also see 18 C.F.R. §388.113(c)(1)(iv).

Finally, the Commission has never ruled that the information withheld by the public in these 192 dockets is actually CEII – this is just the assertion of NERC. 18 CFR § 388.113(d)(iv) provides that:

The information for which CEII treatment is claimed will be maintained in the Commission's files as non-public until such time as the Commission may determine that the information is not entitled to the treatment sought. *By treating the information as CEII, the Commission is not making a determination on any claim of CEII status. The Commission retains the right to make determinations with regard to any claim of CEII status at any time, and the discretion to release information as necessary to carry out its jurisdictional responsibilities.* [Emphasis added.]

I am now asking that the Commission exercise its discretion to immediately release this information to the public. FERC needs to shine a light on utility violations that place the public at risk of long-term and widespread electric grid outage from cyberattack and other deliberate actions of foreign adversaries.

Conclusion:

For the forgoing reasons, I request that the Commission release the previous non-public exhibits to the public in these 192 NP and RC dockets. It is in the public interest that the identities of the “Unidentified Registered Entities” are promptly disclosed to the public, along with settlement agreements and other withheld information, so the public can evaluate the effectiveness of the regulatory regime. Because the regulatory violations should have been remedied long ago, withholding the identities of these regulated entities who were subject of enforcement actions serves no interest other than those of the regulated entities who might wish to avoid public scrutiny, and those of NERC who would like to conceal the ineffectiveness of their regulatory regime from the public and Congress.

Respectfully submitted by:



Michael Mabee

| Date | FERC Docket Number | Region | Registered Entity | Entities | Total Penalty (\$) | NOP | Order | A2 Spreadsheet | Years |
|------------|--------------------|----------|----------------------------------|----------|--------------------|-----------------------------------|-------------------------------------|---|-------|
| 7/6/2010 | NP10-130-000 | SERC | Unidentified Registered Entity | 1 | \$0 | View NOP | View Order | | 8.6 |
| 7/6/2010 | NP10-131-000 | SERC | Unidentified Registered Entity | 1 | \$5,000 | View NOP | View Order | | 8.6 |
| 7/6/2010 | NP10-134-000 | SPP | Unidentified Registered Entity | 1 | \$0 | View NOP | View Order | | 8.6 |
| 7/6/2010 | NP10-135-000 | WECC | Unidentified Registered Entity | 1 | \$8,000 | View NOP | View Order | | 8.6 |
| 7/6/2010 | NP10-136-000 | WECC | Unidentified Registered Entity | 1 | \$7,000 | View NOP | View Order | | 8.6 |
| 7/6/2010 | NP10-137-000 | WECC | Unidentified Registered Entity | 1 | \$39,000 | View NOP | View Order | | 8.6 |
| 7/6/2010 | NP10-138-000 | RFC | Unidentified Registered Entity | 1 | \$5,000 | View NOP | View Order | | 8.6 |
| 7/6/2010 | NP10-139-000 | WECC | Unidentified Registered Entity | 1 | \$3,000 | View NOP | View Order | | 8.6 |
| 7/6/2010 | NP10-140-000 | RFC | Unidentified Registered Entity | 1 | \$5,600 | View NOP | View Order | View Data request | 8.6 |
| 7/30/2010 | NP10-159-000 | WECC | Unidentified Registered Entity | 1 | \$109,000 | View NOP | View Order | | 8.6 |
| 9/13/2010 | NP10-160-000 | WECC | Unidentified Registered Entity | 1 | \$0 | View NOP | View Order 1 | NERC Filing | 8.4 |
| 10/7/2010 | NP11-1-000 | WECC | Unidentified Registered Entity | 1 | \$106,000 | View NOP | View Order | | 8.4 |
| 10/7/2010 | NP11-2-000 | WECC | Unidentified Registered Entity | 1 | \$9,000 | View NOP | View Order | | 8.4 |
| 10/7/2010 | NP11-3-000 | SERC | Unidentified Registered Entity | 1 | \$6,000 | View NOP | View Order | View Data Request | 8.4 |
| 10/7/2010 | NP11-4-000 | FRCC | Unidentified Registered Entity | 1 | \$250,000 | View NOP | View Order | | 8.4 |
| 10/7/2010 | NP11-5-000 | SERC | Unidentified Registered Entity | 1 | \$16,000 | View NOP | View Order | View Data Request | 8.4 |
| 11/5/2010 | NP11-21-000 | RFC | Unidentified Registered Entity | 1 | \$8,000 | View NOP | View Order | | 8.3 |
| 11/5/2010 | NP11-22-000 | SERC | Unidentified Registered Entity | 1 | \$5,000 | View NOP | View Order | | 8.3 |
| 11/30/2010 | NP11-47-000 | SERC | Unidentified Registered Entity | 1 | \$0 | View NOP | View Order | | 8.2 |
| 11/30/2010 | NP11-56-000 | SERC | Unidentified Registered Entity | 1 | \$0 | View NOP | View Order | | 8.2 |
| 12/22/2010 | NP11-59-000 | RFC | Unidentified Registered Entity | 1 | \$7,000 | View NOP | View Extension | | 8.2 |
| 12/22/2010 | NP11-63-000 | WECC | Unidentified Registered Entity | 1 | \$80,000 | View NOP | View Order | | 8.2 |
| 12/22/2010 | NP11-64-000 | WECC | Unidentified Registered Entity | 1 | \$38,500 | View NOP | View Order | | 8.2 |
| 12/22/2010 | NP11-70-000 | WECC | Unidentified Registered Entity | 1 | \$55,000 | View NOP | View Order | | 8.2 |
| 12/22/2010 | NP11-72-000 | SERC | Unidentified Registered Entity | 1 | \$2,000 | View NOP | View Order | | 8.2 |
| 12/22/2010 | NP11-76-000 | SERC | Unidentified Registered Entity | 1 | \$0 | View NOP | View Order | | 8.2 |
| 12/22/2010 | NP11-79-000 | FRCC | Unidentified Registered Entity | 1 | \$100,000 | View NOP | View Order | | 8.2 |
| 12/22/2010 | NP11-81-000 | MRO, SPP | Unidentified Registered Entities | 2 | \$50,000 | View NOP | View Order | | 8.2 |
| 1/31/2011 | NP11-102-000 | WECC | Unidentified Registered Entity | 1 | \$6,500 | View NOP >> | View Order >> | | 8.1 |
| 1/31/2011 | NP11-98-000 | WECC | Unidentified Registered Entity | 1 | \$5,000 | View NOP >> | View Order >> | | 8.1 |
| 2/1/2011 | NP11-104-000 | Various | Unidentified Registered Entities | 6 | \$9,300 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 8.1 |
| 2/23/2011 | NP11-106-000 | RFC | Unidentified Registered Entity | 1 | \$15,000 | View NOP >> | View Order >> | | 8.0 |
| 2/23/2011 | NP11-111-000 | MRO | Unidentified Registered Entity | 1 | \$120,000 | View NOP >> | View Order >> | | 8.0 |
| 2/23/2011 | NP11-116-000 | FRCC | Unidentified Registered Entity | 1 | \$75,000 | View NOP >> | View Order >> | | 8.0 |
| 2/23/2011 | NP11-124-000 | RFC | Unidentified Registered Entity | 1 | \$100,000 | View NOP >> | View Order >> | | 8.0 |
| 2/23/2011 | NP11-125-000 | SPP, RFC | Unidentified Registered Entity | 1 | \$77,000 | View NOP >> | View Order >> | | 8.0 |
| 2/23/2011 | NP11-127-000 | FRCC | Unidentified Registered Entity | 1 | \$55,000 | View NOP >> | View Order >> | | 8.0 |
| 2/23/2011 | NP11-128-000 | WECC | Unidentified Registered Entity | 1 | \$450,000 | View NOP >> | View Order >> | | 8.0 |
| 2/28/2011 | NP11-133-000 | Various | Unidentified Registered Entities | 5 | \$11,500 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 8.0 |
| 3/30/2011 | NP11-136-000 | WECC | Unidentified Registered Entity | 1 | \$14,500 | View NOP >> | View Order >> | | 7.9 |
| 3/30/2011 | NP11-137-000 | WECC | Unidentified Registered Entity | 1 | \$106,000 | View NOP >> | View Order >> | | 7.9 |
| 3/30/2011 | NP11-140-000 | WECC | Unidentified Registered Entity | 1 | \$27,000 | View NOP >> | View Order >> | | 7.9 |
| 3/30/2011 | NP11-143-000 | SERC | Unidentified Registered Entity | 1 | \$5,000 | View NOP >> | View Order >> | | 7.9 |
| 3/30/2011 | NP11-145-000 | WECC | Unidentified Registered Entity | 1 | \$13,000 | View NOP >> | View Order >> | | 7.9 |
| 3/30/2011 | NP11-146-000 | RFC | Unidentified Registered Entities | 3 | \$52,500 | View NOP >> | View Order >> | | 7.9 |
| 3/30/2011 | NP11-149-000 | RFC | Unidentified Registered Entity | 1 | \$20,000 | View NOP >> | View Order >> | | 7.9 |
| 3/30/2011 | NP11-150-000 | MRO | Unidentified Registered Entity | 1 | \$0 | View NOP >> | View Order >> | | 7.9 |
| 3/30/2011 | NP11-155-000 | WECC | Unidentified Registered Entity | 1 | \$2,000 | View NOP >> | View Order >> | | 7.9 |

Dockets With Expired CEII Designations

| Date | FERC Docket Number | Region | Registered Entity | Entities | Total Penalty (\$) | NOP | Order | A2 Spreadsheet | Years |
|-----------|--------------------|------------|----------------------------------|----------|--------------------|-----------------------------------|-------------------------------------|---|-------|
| 3/30/2011 | NP11-156-000 | SERC | Unidentified Registered Entity | 1 | \$12,500 | View NOP >> | View Order >> | | 7.9 |
| 3/30/2011 | NP11-157-000 | SERC | Unidentified Registered Entity | 1 | \$7,000 | View NOP >> | View Order >> | | 7.9 |
| 3/30/2011 | NP11-161-000 | WECC | Unidentified Registered Entity | 1 | \$35,000 | View NOP >> | View Order >> | | 7.9 |
| 3/31/2011 | NP11-162-000 | TRE, NPCC | Unidentified Registered Entities | 2 | \$10,500 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 7.9 |
| 4/29/2011 | NP11-166-000 | SPP, TRE | Unidentified Registered Entity | 1 | \$50,000 | View NOP >> | View Order >> | | 7.8 |
| 4/29/2011 | NP11-167-000 | WECC | Unidentified Registered Entity | 1 | \$89,000 | View NOP >> | View Order >> | | 7.8 |
| 4/29/2011 | NP11-174-000 | RFC | Unidentified Registered Entity | 1 | \$15,000 | View NOP >> | View Order >> | | 7.8 |
| 4/29/2011 | NP11-175-000 | WECC | Unidentified Registered Entity | 1 | \$32,000 | View NOP >> | View Order >> | | 7.8 |
| 4/29/2011 | NP11-176-000 | WECC | Unidentified Registered Entity | 1 | \$80,000 | View NOP >> | View Order >> | | 7.8 |
| 4/29/2011 | NP11-178-000 | WECC | Unidentified Registered Entity | 1 | \$35,000 | View NOP >> | View Order >> | | 7.8 |
| 4/29/2011 | NP11-179-000 | MRO | Unidentified Registered Entity | 1 | \$10,000 | View NOP >> | View Order >> | | 7.8 |
| 4/29/2011 | NP11-180-000 | WECC | Unidentified Registered Entity | 1 | \$71,500 | View NOP >> | View Order >> | | 7.8 |
| 4/29/2011 | NP11-181-000 | FRCC, NPCC | Unidentified Registered Entities | 6 | \$39,500 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 7.8 |
| 5/26/2011 | NP11-182-000 | WECC | Unidentified Registered Entity | 1 | \$59,000 | View NOP >> | View Order >> | | 7.7 |
| 5/26/2011 | NP11-184-000 | RFC | Unidentified Registered Entity | 1 | \$70,000 | View NOP >> | View Order >> | View Data Request >> | 7.7 |
| 5/26/2011 | NP11-188-000 | SPP | Unidentified Registered Entity | 1 | \$16,860 | View NOP >> | View Order >> | | 7.7 |
| 5/26/2011 | NP11-189-000 | FRCC | Unidentified Registered Entity | 1 | \$17,000 | View NOP >> | View Order >> | | 7.7 |
| 5/26/2011 | NP11-192-000 | WECC | Unidentified Registered Entity | 1 | \$12,200 | View NOP >> | View Order >> | | 7.7 |
| 5/26/2011 | NP11-193-000 | WECC | Unidentified Registered Entity | 1 | \$60,000 | View NOP >> | View Order >> | | 7.7 |
| 5/26/2011 | NP11-198-000 | SPP | Unidentified Registered Entity | 1 | \$17,860 | View NOP >> | View Order >> | | 7.7 |
| 5/26/2011 | NP11-199-000 | Various | Unidentified Registered Entities | 3 | \$3,500 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 7.7 |
| 6/29/2011 | NP11-204-000 | WECC | Unidentified Registered Entity | 1 | \$37,500 | View NOP >> | View Order >> | | 7.6 |
| 6/29/2011 | NP11-205-000 | WECC | Unidentified Registered Entity | 1 | \$22,000 | View NOP >> | View Order >> | | 7.6 |
| 6/29/2011 | NP11-206-000 | NPCC | Unidentified Registered Entity | 3 | \$80,000 | View NOP >> | View Order >> | View Supplemental >> | 7.6 |
| 6/29/2011 | NP11-211-000 | WECC | Unidentified Registered Entity | 1 | \$14,000 | View NOP >> | View Order >> | | 7.6 |
| 6/29/2011 | NP11-212-000 | WECC | Unidentified Registered Entity | 1 | \$381,600 | View NOP >> | View Order >> | | 7.6 |
| 6/29/2011 | NP11-213-000 | WECC | Unidentified Registered Entity | 1 | \$143,500 | View NOP >> | View Order >> | View Supplemental >> | 7.6 |
| 6/29/2011 | NP11-218-000 | WECC | Unidentified Registered Entity | 1 | \$130,000 | View NOP >> | View Order >> | | 7.6 |
| 6/29/2011 | NP11-223-000 | SPP | Unidentified Registered Entity | 1 | \$30,000 | View NOP >> | View Order >> | | 7.6 |
| 6/29/2011 | NP11-225-000 | RFC | Unidentified Registered Entity | 1 | \$10,000 | View NOP >> | View Order >> | | 7.6 |
| 6/29/2011 | NP11-226-000 | RFC | Unidentified Registered Entity | 1 | \$85,000 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-229-000 | WECC | Unidentified Registered Entity | 1 | \$75,000 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-230-000 | RFC | Unidentified Registered Entity | 1 | \$18,000 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-233-000 | WECC | Unidentified Registered Entity | 1 | \$70,000 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-234-000 | WECC | Unidentified Registered Entity | 1 | \$35,000 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-237-000 | RFC | Unidentified Registered Entity | 3 | \$180,000 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-243-000 | RFC | Unidentified Registered Entity | 1 | \$20,000 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-247-000 | RFC | Unidentified Registered Entity | 1 | \$15,000 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-248-000 | WECC | Unidentified Registered Entity | 1 | \$5,000 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-249-000 | WECC | Unidentified Registered Entity | 1 | \$18,000 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-250-000 | WECC | Unidentified Registered Entity | 1 | \$12,600 | View NOP >> | View Order >> | | 7.6 |
| 7/28/2011 | NP11-251-000 | WECC | Unidentified Registered Entity | 1 | \$7,000 | View NOP >> | View Order >> | | 7.6 |
| 7/29/2011 | NP11-253-000 | Various | Unidentified Registered Entities | 8 | \$26,500 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 7.6 |
| 8/31/2011 | NP11-261-000 | RFC | Unidentified Registered Entity | 1 | \$70,000 | View NOP >> | View Order >> | | 7.5 |
| 8/31/2011 | NP11-262-000 | SPP | Unidentified Registered Entity | 1 | \$12,000 | View NOP >> | View Order >> | | 7.5 |
| 8/31/2011 | NP11-263-000 | TRE | Unidentified Registered Entity | 1 | \$11,000 | View NOP >> | View Order >> | | 7.5 |
| 8/31/2011 | NP11-264-000 | SPP | Unidentified Registered Entity | 1 | \$8,000 | View NOP >> | View Order >> | | 7.5 |
| 8/31/2011 | NP11-266-000 | Various | Unidentified Registered Entities | 5 | \$63,500 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 7.5 |

Dockets With Expired CEII Designations

| Date | FERC Docket Number | Region | Registered Entity | Entities | Total Penalty (\$) | NOP | Order | A2 Spreadsheet | Years |
|------------|--------------------|----------|----------------------------------|----------|--------------------|---|-------------------------------------|---|-------|
| 9/30/2011 | NP11-269-000 | WECC | Unidentified Registered Entity | 1 | \$225,000 | View NOP >> | View Order >> | | 7.4 |
| 9/30/2011 | NP11-270-000 | Various | Unidentified Registered Entities | 21 | \$193,900 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 7.4 |
| 9/30/2011 | RC11-6-000 | Various | Unidentified Registered Entities | 59 | \$0 | View Filing >> | View Order >> | View A-1 Spreadsheet >> | 7.4 |
| 10/31/2011 | NP12-1-000 | RFC | Unidentified Registered Entities | 3 | \$275,000 | View Filing >> | View Order >> | View A-2 Corrected Spreadsheet >> | 7.3 |
| 10/31/2011 | NP12-2-000 | Various | Unidentified Registered Entities | 16 | \$184,200 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 7.3 |
| 10/31/2011 | RC12-1-000 | Various | Unidentified Registered Entities | 33 | \$0 | View Filing >> | View Order >> | View A-1 Spreadsheet >> | 7.3 |
| 11/30/2011 | NP12-3-000 | WECC | Unidentified Registered Entity | 1 | \$125,000 | View NOP >> | View Order >> | | 7.2 |
| 11/30/2011 | NP12-4-000 | WECC | Unidentified Registered Entity | 1 | \$160,000 | View NOP >> | View Order >> | | 7.2 |
| 11/30/2011 | NP12-5-000 | RF, WECC | Unidentified Registered Entities | 12 | \$89,000 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 7.2 |
| 11/30/2011 | RC12-2-000 | Various | Unidentified Registered Entities | 30 | \$0 | View Filing >> | View Order >> | View A-1 Spreadsheet >> | 7.2 |
| 12/30/2011 | NP12-10-000 | Various | Unidentified Registered Entities | 21 | \$109,600 | View Filing >> | View Order >> | View A-2 Corrected Spreadsheet >> | 7.1 |
| 12/30/2011 | NP12-9-000 | RFC | Unidentified Registered Entity | 1 | \$60,000 | View NOP >> | View Order >> | | 7.1 |
| 12/30/2011 | RC12-6-000 | Various | Unidentified Registered Entities | 40 | \$0 | View Filing >> | View Order >> | View A-1 Spreadsheet >> | 7.1 |
| 1/31/2012 | NP12-11-000 | WECC | Unidentified Registered Entity | 1 | \$135,000 | View NOP >> | View Order >> | | 7.1 |
| 1/31/2012 | NP12-12-000 | Various | Unidentified Registered Entities | 18 | \$160,500 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 7.1 |
| 1/31/2012 | RC12-7-000 | Various | Unidentified Registered Entities | 30 | \$0 | View Filing >> | View Order >> | | 7.1 |
| 2/29/2012 | NP12-16-000 | WECC | Unidentified Registered Entity | 1 | \$80,000 | Filing | | | 7.0 |
| 2/29/2012 | NP12-17-000 | SPP RE | Unidentified Registered Entity | 1 | \$40,000 | Filing | | | 7.0 |
| 2/29/2012 | NP12-18-000 | Various | Unidentified Registered Entities | 23 | \$222,900 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 7.0 |
| 2/29/2012 | RC12-8-000 | Various | Unidentified Registered Entities | 24 | \$0 | View Filing >> | View Order >> | View A-1 Spreadsheet >> | 7.0 |
| 3/30/2012 | NP12-20-000 | WECC | Unidentified Registered Entity | 1 | \$60,000 | View NOP >> | View Order >> | | 6.9 |
| 3/30/2012 | NP12-22-000 | Various | Unidentified Registered Entities | 15 | \$42,000 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 6.9 |
| 3/30/2012 | RC12-10-000 | Various | Unidentified Registered Entities | 12 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 6.9 |
| 4/30/2012 | NP12-25-000 | RFC | Unidentified Registered Entity | 1 | \$115,000 | View NOP >> | View Order >> | | 6.8 |
| 4/30/2012 | NP12-26-000 | Various | Unidentified Registered Entities | 18 | \$95,300 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 6.8 |
| 4/30/2012 | RC12-11-000 | Various | Unidentified Registered Entities | 18 | \$0 | View Supplemental Filing >> | | View A-2 Spreadsheet >> | 6.8 |
| 5/30/2012 | NP12-27-000 | Various | Unidentified Registered Entities | 20 | \$48,600 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 6.7 |
| 5/30/2012 | NP12-29-000 | WECC | Unidentified Registered Entity | 1 | \$162,200 | View NOP >> | View Order >> | | 6.7 |
| 5/30/2012 | RC12-12-000 | Various | Unidentified Registered Entities | 40 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 6.7 |
| 6/29/2012 | NP12-36-000 | Various | Unidentified Registered Entities | 15 | \$121,900 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 6.6 |
| 6/29/2012 | RC12-13-000 | Various | Unidentified Registered Entities | 40 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 6.6 |
| 7/31/2012 | NP12-37-000 | WECC | Unidentified Registered Entities | 4 | \$134,350 | View NOP >> | View Order >> | | 6.6 |
| 7/31/2012 | NP12-38-000 | WECC | Unidentified Registered Entity | 1 | \$72,000 | Filing | View Order >> | | 6.6 |
| 7/31/2012 | NP12-40-000 | Various | Unidentified Registered Entities | 15 | \$101,100 | Filing | View Order >> | View A-2 Spreadsheet >> | 6.6 |
| 7/31/2012 | RC12-14-000 | Various | Unidentified Registered Entities | 30 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 6.6 |
| 8/31/2012 | NP12-43-000 | WECC | Unidentified Registered Entity | 1 | \$70,000 | View NOP >> | View Order >> | | 6.5 |
| 8/31/2012 | NP12-44-000 | Various | Unidentified Registered Entities | 16 | \$182,800 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 6.5 |
| 8/31/2012 | RC12-15-000 | Various | Unidentified Registered Entities | 38 | \$0 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 6.5 |
| 9/28/2012 | NP12-45-000 | FRCC | Unidentified Registered Entity | 1 | \$150,000 | View NOP >> | View Order >> | | 6.4 |
| 9/28/2012 | NP12-46-000 | WECC | Unidentified Registered Entity | 1 | \$200,000 | View NOP >> | View Order >> | | 6.4 |
| 9/28/2012 | NP12-47-000 | Various | Unidentified Registered Entities | 14 | \$113,400 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 6.4 |
| 9/28/2012 | RC12-16-000 | Various | Unidentified Registered Entities | 41 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 6.4 |
| 10/31/2012 | NP13-1-000 | WECC | Unidentified Registered Entity | 1 | \$200,000 | View NOP >> | View Order >> | | 6.3 |
| 10/31/2012 | NP13-4-000 | RFC | Unidentified Registered Entities | 3 | \$725,000 | View NOP >> | View Order >> | | 6.3 |
| 10/31/2012 | NP13-5-000 | Various | Unidentified Registered Entities | 19 | \$216,000 | View NOP >> | View Order >> | View A-2 Spreadsheet >> | 6.3 |
| 10/31/2012 | RC13-1-000 | Various | Unidentified Registered Entities | 44 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 6.3 |
| 11/30/2012 | NP13-6-000 | WECC | Unidentified Registered Entity | 1 | \$62,500 | View NOP >> | View Order >> | | 6.2 |
| 11/30/2012 | RC13-2-000 | Various | Unidentified Registered Entities | 25 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 6.2 |

Dockets With Expired CEII Designations

| Date | FERC Docket Number | Region | Registered Entity | Entities | Total Penalty (\$) | NOP | Order | A2 Spreadsheet | Years |
|------------|--------------------|-----------|----------------------------------|----------|--------------------|--------------------------------------|-------------------------------------|---|-------|
| 12/31/2012 | NP13-11-000 | SPP | Unidentified Registered Entity | 1 | \$107,000 | View NOP >> | View NOP >> | | 6.1 |
| 12/31/2012 | NP13-12-000 | Various | Unidentified Registered Entities | 21 | \$214,000 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 6.1 |
| 12/31/2012 | NP13-16-000 | WECC | Unidentified Registered Entity | 1 | \$207,000 | View NOP >> | View NOP >> | | 6.1 |
| 12/31/2012 | NP13-17-000 | RFC | Unidentified Registered Entities | 3 | \$80,000 | View NOP >> | View Order >> | | 6.1 |
| 12/31/2012 | NP13-18-000 | SPP | Unidentified Registered Entity | 1 | \$153,000 | View NOP >> | View NOP >> | | 6.1 |
| 12/31/2012 | NP13-19-000 | SERC | Unidentified Registered Entity | 1 | \$950,000 | View NOP >> | View NOP >> | | 6.1 |
| 12/31/2012 | RC13-3-000 | Various | Unidentified Registered Entities | 25 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 6.1 |
| 1/31/2013 | NP13-22-000 | WECC | Unidentified Registered Entity | 1 | \$115,000 | View NOP >> | View Order >> | | 6.1 |
| 1/31/2013 | NP13-23-000 | Various | Unidentified Registered Entities | 22 | \$73,000 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 6.1 |
| 1/31/2013 | RC13-5-000 | Various | Unidentified Registered Entities | 22 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 6.1 |
| 2/28/2013 | NP13-24-000 | WECC | Unidentified Registered Entity | 3 | \$151,500 | View NOP >> | View Order >> | | 6.0 |
| 2/28/2013 | NP13-27-000 | Various | Unidentified Registered Entities | 14 | \$53,000 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 6.0 |
| 2/28/2013 | RC13-6-000 | Various | Unidentified Registered Entities | 27 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 6.0 |
| 3/27/2013 | NP13-30-000 | RFC | Unidentified Registered Entity | 3 | \$120,000 | View NOP >> | View Order >> | View Errata>> | 5.9 |
| 3/27/2013 | NP13-28-000 | Various | Unidentified Registered Entity | 1 | \$90,000 | View NOP >> | View Order >> | | 5.9 |
| 3/27/2013 | NP13-29-000 | Various | Unidentified Registered Entities | 10 | \$80,000 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 5.9 |
| 4/30/2013 | NP13-32-000 | NERC | Unidentified Registered Entity | 1 | \$40,000 | View NOP >> | View Order >> | | 5.8 |
| 4/30/2013 | NP13-33-000 | Various | Unidentified Registered Entities | 18 | \$315,250 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 5.8 |
| 4/30/2013 | RC13-8-000 | Various | Unidentified Registered Entities | 50 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 5.8 |
| 5/30/2013 | NP13-34-000 | Texas RE | Unidentified Registered Entity | 1 | \$137,000 | View NOP >> | View Order >> | View Errata >> | 5.7 |
| 5/30/2013 | NP13-38-000 | WECC | Unidentified Registered Entity | 1 | \$291,000 | View NOP >> | View Order >> | | 5.7 |
| 5/30/2013 | NP13-39-000 | Various | Unidentified Registered Entities | 16 | \$67,500 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 5.7 |
| 5/30/2013 | RC13-9-000 | Various | Unidentified Registered Entities | 53 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 5.7 |
| 6/27/2013 | NP13-41-000 | Various | Unidentified Registered Entities | 20 | \$198,000 | View Filing >> | View Order >> | View A-2 Spreadsheet >> | 5.7 |
| 6/27/2013 | RC13-10-000 | Various | Unidentified Registered Entities | 52 | \$0 | View Filing >> | | View A-2 Spreadsheet >> | 5.7 |
| 7/31/2013 | NP13-45-000 | WECC | Unidentified Registered Entity | 1 | \$198,000 | View NOP >> | View Order>> | | 5.6 |
| 7/31/2013 | NP13-46-000 | Various | Unidentified Registered Entities | 18 | \$112,000 | View Filing >> | View Order>> | View A-2 Spreadsheet >> | 5.6 |
| 7/31/2013 | NP13-47-000 | RFC, SERC | Unidentified Registered Entities | 2 | \$350,000 | View NOP >> | View Order>> | | 5.6 |
| 8/30/2013 | NP13-51-000 | Various | Unidentified Registered Entities | 18 | \$98,000 | View Filing >> | View Order>> | | 5.5 |
| 9/30/2013 | NP13-55-000 | WECC | Unidentified Registered Entity | 1 | \$150,000 | View NOP>> | View Order>> | | 5.4 |
| 9/30/2013 | NP13-57-000 | Various | Unidentified Registered Entities | 12 | \$189,000 | View Filing >> | View Order>> | View A-2 Spreadsheet >> | 5.4 |
| 10/30/2013 | NP14-4-000 | RF, SERC | Unidentified Registered Entities | 16 | \$55,000 | View Filing >> | View Order>> | View A-2 Spreadsheet >> | 5.3 |
| 10/30/2013 | NP14-5-000 | RFC | Unidentified Registered Entity | 1 | \$0 | View NOP>> | View Order>> | | 5.3 |
| 11/27/2013 | NP14-6-000 | Various | Unidentified Registered Entities | 14 | \$142,000 | View Filing >> | View Order>> | View A-2 Spreadsheet >> | 5.2 |
| 12/30/2013 | NP14-14-000 | Various | Unidentified Registered Entities | 18 | \$276,500 | View Filing >> | View Order>> | View A-2 Spreadsheet >> | 5.1 |
| 12/30/2013 | NP14-16-000 | SERC | Unidentified Registered Entity | 1 | \$50,000 | View NOP>> | View Order>> | | 5.1 |
| 12/30/2013 | NP14-17-000 | WECC | Unidentified Registered Entity | 1 | \$144,000 | View NOP>> | View Order>> | | 5.1 |
| 12/30/2013 | NP14-18-000 | SERC | Unidentified Registered Entity | 1 | \$110,000 | View NOP>> | View Order>> | | 5.1 |
| 12/30/2013 | NP14-19-000 | WECC | Unidentified Registered Entity | 1 | \$185,000 | View NOP>> | View Order>> | View Errata>> | 5.1 |
| 12/30/2013 | NP14-20-000 | SERC | Unidentified Registered Entity | 1 | \$198,000 | View NOP>> | View Order>> | | 5.1 |
| 12/30/2013 | NP14-22-000 | WECC | Unidentified Registered Entity | 1 | \$150,000 | View NOP>> | View Order>> | | 5.1 |
| 12/31/2013 | NP14-21-000 | SERC | Unidentified Registered Entity | 1 | \$175,000 | View NOP>> | View Order>> | | 5.1 |
| 12/31/2013 | NP14-23-000 | SPP RE | Unidentified Registered Entity | 1 | \$100,000 | View NOP>> | View Order>> | | 5.1 |
| 12/31/2013 | NP14-24-000 | SERC | Unidentified Registered Entity | 1 | \$350,000 | View NOP>> | View Order>> | | 5.1 |
| 12/31/2013 | NP14-25-000 | SERC | Unidentified Registered Entity | 1 | \$250,000 | View NOP>> | View Order>> | | 5.1 |
| 12/31/2013 | NP14-26-000 | SERC | Unidentified Registered Entity | 1 | \$120,000 | View NOP>> | View Order>> | | 5.1 |
| 1/30/2014 | NP14-29-000 | WECC | Unidentified Registered Entity | 1 | \$109,000 | View NOP | View Order | | 5.1 |
| 1/30/2014 | NP14-30-000 | RFC | Unidentified Registered Entity | 1 | \$75,000 | View NOP | View Order | | 5.1 |