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January 30, 2019

The Honorable Lisa Murkowski, Chairman  
The Honorable Joe Manchin III, Ranking Member  
U.S. Senate Committee on Energy and Natural Resources  
304 Dirksen Senate Building  
Washington, DC 20510

Dear Senators Murkowski and Manchin

I am writing in regard to the systemic coverup of electric grid standard violations by the utility industry and their self-regulatory body, the North American Electric Reliability Corporation (NERC). This coverup has been enabled by the Federal Energy Regulatory Commission (FERC).

I am a regular citizen who has discovered the magnitude of this betrayal of the public trust. I ask that your committee open a formal investigation. With continuing wildfires caused by utilities in the Western Interconnection, and the names of vegetation management violators being hidden, many lives are at risk. A cybersecurity attack and resulting long-term blackout could also cause widespread casualties.

In February of 2018, I read about a “white hat” security researcher who found confidential records of PG&E’s network configuration, including passwords, on the public internet. Checking the FERC library, I found a record of a cybersecurity standard violation in about the same timeframe. However, FERC and NERC did not name the violator, instead calling the utility an “Unidentified Registered Entity.”

I filed a Freedom of Information Act (FOIA) request – and an appeal when my request was denied – in order to obtain the identity of the violator.<sup>1</sup> To this day, further details are still withheld from public examination.

On August 24, 2018, the Wall Street Journal ran a story titled: “PG&E Identified as Utility That Lost Control of Confidential Information.” Subtitle: “As a result of 2016 failure, 30,000 records about PG&E’s cyber assets were exposed on the internet.”<sup>2</sup> This was the first time the public found out about PG&E’s massive cyber breach and that PG&E was the violator subjected to a 2.7 million dollar regulatory fine. I was quoted in the Wall Street Journal article and cited as the source of the information.

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<sup>1</sup> See FERC docket number NP18-7-000.

<sup>2</sup> Smith, Rebecca. The Wall Street Journal. August 24, 2018. <https://www.wsj.com/articles/pg-e-identified-as-utility-that-lost-control-of-confidential-information-1535145850> (accessed November 22, 2018).

I did further research and discovered that since July of 2010, NERC has routinely been withholding the identities of regulated entities that violate Critical Infrastructure Protection (CIP) Standards even when there is no reasonable “national security” reason to do so. FERC has allowed this odious practice to continue, even though the agency has a regulation clearly stating that the Notices of Penalty will be disclosed to the public after the vulnerability is remedied.<sup>3</sup>

My research has uncovered that FERC has hidden information in 243 dockets involving at least 1465 registered entities between 2010 and 2018. Attached is a list of these dockets for your review. I have filed FOIA request for these records, but I expect, as happened previously, NERC will oppose the release of this information and FERC will deny my request. I believe that without the intervention of your oversight committee, this FERC/NERC cover up will likely continue.

A review of the publicly available information on these dockets reveals troubling issues, however, without the disclosure of the names of the entities and the text of settlement agreements, it is impossible for the public to fully appreciate how standards violations by utilities place lives at risk. Here are some examples:

- Since the Metcalf substation attack on April 16, 2013, one would think that there would be utility focus on physical security for high voltage transformers – most of which are guarded only by a chain link fence and crossed fingers. So exactly how many enforcement actions would you guess there have been in the last 5 years for “CIP-014” physical security? Only one. (FERC Docket NP18-14-000.)
- Many of the “penalties” result from settlement agreements (e.g., the “Unidentified Registered Entity” agreed to pay the “penalty” and in many cases does not admit fault for the violation). Without knowing the details of the settlement agreements, the public cannot adequately analyze the terms and penalties, or even identify offending utilities.
- In some of the cases that were “settled,” the regulated entities were “uncooperative” (FERC Docket NP16-12-000) or “not fully transparent and forthcoming” (FERC Docket NP18-7-000). “Settling” with such actors raises many regulatory red flags and the public needs to analyze these FERC-approved transactions in more detail.
- I have found numerous examples of non-CIP violations that have been redacted. For example, I have found at least 4 violations of vegetation management standards for transmission lines in the Western Interconnection – the same region where over 86 deaths occurred in the “Camp Fire” – the deadliest and most destructive wildfire in California history. This is the same region where a “regulated entity” (PG&E) has significant liability for wildfires. The public has a right to know who standard violators are.

After this FERC/NERC cover up started in July of 2010, *there has been less incentive to fix the grid security problems*. That’s why disclosure is important. Why should utilities spend money to fix grave cybersecurity issues if they know that 1) if caught, the friendly regulator will “settle” the violation privately, 2) the utility can negotiate a trivial fine, and 3) the utility’s name will not be disclosed to the public?

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<sup>3</sup> 18 CFR § 39.7 (b)(4) provides that: “Each violation or alleged violation shall be treated as nonpublic until the matter is filed with the Commission as a notice of penalty or resolved by an admission that the user, owner or operator of the Bulk-Power System violated a Reliability Standard or by a settlement or other negotiated disposition.” [Emphasis added.] Further, 18 CFR § 39.7(d)(1) provides that a notice of penalty by the Electric Reliability Organization shall consist of, *inter alia*: “The name of the entity on whom the penalty is imposed.”

I request that your Committee hold a hearing on this critical matter. I am willing to testify as to my findings and research, as well, as why this conduct by NERC and FERC is endangering the U.S. electric grid and the public safety.

Respectfully,

A handwritten signature in blue ink, appearing to read 'mabe', is positioned above the name Michael Mabee.

Michael Mabee

Attachment: FERC Dockets where identities of CIP violators were withheld from the public

CC: Senator Maggie Hassan (NH)  
Senator Jeanne Shaheen (NH)  
Representative Ann McLane Kuster (NH)

"Unidentified Registered Entity" Dockets 2010-2018

Date	FERC Docket Number	Region	Registered Entity	Entities	Total Penalty (\$)	NOP	Order	A2 Spreadsheet
7/6/2010	NP10-130-000	SERC	Unidentified Registered Entity	1	\$0	<a href="#">View NOP</a>	<a href="#">View Order</a>	
7/6/2010	NP10-131-000	SERC	Unidentified Registered Entity	1	\$5,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
7/6/2010	NP10-134-000	SPP	Unidentified Registered Entity	1	\$0	<a href="#">View NOP</a>	<a href="#">View Order</a>	
7/6/2010	NP10-135-000	WECC	Unidentified Registered Entity	1	\$8,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
7/6/2010	NP10-136-000	WECC	Unidentified Registered Entity	1	\$7,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
7/6/2010	NP10-137-000	WECC	Unidentified Registered Entity	1	\$39,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
7/6/2010	NP10-138-000	RFC	Unidentified Registered Entity	1	\$5,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
7/6/2010	NP10-139-000	WECC	Unidentified Registered Entity	1	\$3,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
7/6/2010	NP10-140-000	RFC	Unidentified Registered Entity	1	\$5,600	<a href="#">View NOP</a>	<a href="#">View Order</a>	<a href="#">View Data request</a>
7/30/2010	NP10-159-000	WECC	Unidentified Registered Entity	1	\$109,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
9/13/2010	NP10-160-000	WECC	Unidentified Registered Entity	1	\$0	<a href="#">View NOP</a>	<a href="#">View Order 1</a>	<a href="#">NERC Filing</a>
10/7/2010	NP11-1-000	WECC	Unidentified Registered Entity	1	\$106,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
10/7/2010	NP11-2-000	WECC	Unidentified Registered Entity	1	\$9,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
10/7/2010	NP11-3-000	SERC	Unidentified Registered Entity	1	\$6,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	<a href="#">View Data Request</a>
10/7/2010	NP11-4-000	FRCC	Unidentified Registered Entity	1	\$250,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
10/7/2010	NP11-5-000	SERC	Unidentified Registered Entity	1	\$16,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	<a href="#">View Data Request</a>
11/5/2010	NP11-21-000	RFC	Unidentified Registered Entity	1	\$8,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
11/5/2010	NP11-22-000	SERC	Unidentified Registered Entity	1	\$5,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
11/30/2010	NP11-47-000	SERC	Unidentified Registered Entity	1	\$0	<a href="#">View NOP</a>	<a href="#">View Order</a>	
11/30/2010	NP11-56-000	SERC	Unidentified Registered Entity	1	\$0	<a href="#">View NOP</a>	<a href="#">View Order</a>	
12/22/2010	NP11-59-000	RFC	Unidentified Registered Entity	1	\$7,000	<a href="#">View NOP</a>	<a href="#">View Extension</a>	
12/22/2010	NP11-63-000	WECC	Unidentified Registered Entity	1	\$80,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
12/22/2010	NP11-64-000	WECC	Unidentified Registered Entity	1	\$38,500	<a href="#">View NOP</a>	<a href="#">View Order</a>	
12/22/2010	NP11-70-000	WECC	Unidentified Registered Entity	1	\$55,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
12/22/2010	NP11-72-000	SERC	Unidentified Registered Entity	1	\$2,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
12/22/2010	NP11-76-000	SERC	Unidentified Registered Entity	1	\$0	<a href="#">View NOP</a>	<a href="#">View Order</a>	
12/22/2010	NP11-79-000	FRCC	Unidentified Registered Entity	1	\$100,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
12/22/2010	NP11-81-000	MRO, SPP	Unidentified Registered Entities	2	\$50,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
1/31/2011	NP11-102-000	WECC	Unidentified Registered Entity	1	\$6,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
1/31/2011	NP11-98-000	WECC	Unidentified Registered Entity	1	\$5,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
2/1/2011	NP11-104-000	Various	Unidentified Registered Entities	6	\$9	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
2/23/2011	NP11-106-000	RFC	Unidentified Registered Entity	1	\$15,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
2/23/2011	NP11-111-000	MRO	Unidentified Registered Entity	1	\$120,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
2/23/2011	NP11-116-000	FRCC	Unidentified Registered Entity	1	\$75,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
2/23/2011	NP11-124-000	RFC	Unidentified Registered Entity	1	\$100,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
2/23/2011	NP11-125-000	SPP, RFC	Unidentified Registered Entity	1	\$77,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
2/23/2011	NP11-127-000	FRCC	Unidentified Registered Entity	1	\$55,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
2/23/2011	NP11-128-000	WECC	Unidentified Registered Entity	1	\$450,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
2/28/2011	NP11-133-000	Various	Unidentified Registered Entities	5	\$11,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
3/30/2011	NP11-136-000	WECC	Unidentified Registered Entity	1	\$14,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2011	NP11-137-000	WECC	Unidentified Registered Entity	1	\$106,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2011	NP11-140-000	WECC	Unidentified Registered Entity	1	\$27,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2011	NP11-143-000	SERC	Unidentified Registered Entity	1	\$5,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2011	NP11-145-000	WECC	Unidentified Registered Entity	1	\$13,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2011	NP11-146-000	RFC	Unidentified Registered Entities	3	\$52,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	

"Unidentified Registered Entity" Dockets 2010-2018

Date	FERC Docket Number	Region	Registered Entity	Entities	Total Penalty (\$)	NOP	Order	A2 Spreadsheet
3/30/2011	NP11-149-000	RFC	Unidentified Registered Entity	1	\$20,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2011	NP11-150-000	MRO	Unidentified Registered Entity	1	\$0	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2011	NP11-155-000	WECC	Unidentified Registered Entity	1	\$2,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2011	NP11-156-000	SERC	Unidentified Registered Entity	1	\$12,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2011	NP11-157-000	SERC	Unidentified Registered Entity	1	\$7,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2011	NP11-161-000	WECC	Unidentified Registered Entity	1	\$35,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/31/2011	NP11-162-000	TRE, NPCC	Unidentified Registered Entities	2	\$10,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
4/29/2011	NP11-166-000	SPP, TRE	Unidentified Registered Entity	1	\$50,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
4/29/2011	NP11-167-000	WECC	Unidentified Registered Entity	1	\$89,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
4/29/2011	NP11-174-000	RFC	Unidentified Registered Entity	1	\$15,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
4/29/2011	NP11-175-000	WECC	Unidentified Registered Entity	1	\$32,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
4/29/2011	NP11-176-000	WECC	Unidentified Registered Entity	1	\$80,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
4/29/2011	NP11-178-000	WECC	Unidentified Registered Entity	1	\$35,000	<a href="#">View NOP &gt;</a>	<a href="#">View Order &gt;&gt;</a>	
4/29/2011	NP11-179-000	MRO	Unidentified Registered Entity	1	\$10,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
4/29/2011	NP11-180-000	WECC	Unidentified Registered Entity	1	\$71,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
4/29/2011	NP11-181-000	FRCC, NPCC	Unidentified Registered Entities	6	\$39,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
5/26/2011	NP11-182-000	WECC	Unidentified Registered Entity	1	\$59,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
5/26/2011	NP11-184-000	RFC	Unidentified Registered Entity	1	\$70,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View Data Request &gt;&gt;</a>
5/26/2011	NP11-188-000	SPP	Unidentified Registered Entity	1	\$16,860	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
5/26/2011	NP11-189-000	FRCC	Unidentified Registered Entity	1	\$17,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
5/26/2011	NP11-192-000	WECC	Unidentified Registered Entity	1	\$12,200	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
5/26/2011	NP11-193-000	WECC	Unidentified Registered Entity	1	\$60,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
5/26/2011	NP11-198-000	SPP	Unidentified Registered Entity	1	\$17,860	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
5/26/2011	NP11-199-000	Various	Unidentified Registered Entities	3	\$3,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
6/29/2011	NP11-204-000	WECC	Unidentified Registered Entity	1	\$37,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
6/29/2011	NP11-205-000	WECC	Unidentified Registered Entity	1	\$22,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
6/29/2011	NP11-206-000	NPCC	Unidentified Registered Entity	3	\$80,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View Supplemental &gt;&gt;</a>
6/29/2011	NP11-211-000	WECC	Unidentified Registered Entity	1	\$14,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
6/29/2011	NP11-212-000	WECC	Unidentified Registered Entity	1	\$381,600	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
6/29/2011	NP11-213-000	WECC	Unidentified Registered Entity	1	\$143,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View Supplemental &gt;&gt;</a>
6/29/2011	NP11-218-000	WECC	Unidentified Registered Entity	1	\$130,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
6/29/2011	NP11-223-000	SPP	Unidentified Registered Entity	1	\$30,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
6/29/2011	NP11-225-000	RFC	Unidentified Registered Entity	1	\$10,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
6/29/2011	NP11-226-000	RFC	Unidentified Registered Entity	1	\$85,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-229-000	WECC	Unidentified Registered Entity	1	\$75,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-230-000	RFC	Unidentified Registered Entity	1	\$18,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-233-000	WECC	Unidentified Registered Entity	1	\$70,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-234-000	WECC	Unidentified Registered Entity	1	\$35,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-237-000	RFC	Unidentified Registered Entity	3	\$180,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-243-000	RFC	Unidentified Registered Entity	1	\$20,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-247-000	RFC	Unidentified Registered Entity	1	\$15,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-248-000	WECC	Unidentified Registered Entity	1	\$5,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-249-000	WECC	Unidentified Registered Entity	1	\$18,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-250-000	WECC	Unidentified Registered Entity	1	\$12,600	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/28/2011	NP11-251-000	WECC	Unidentified Registered Entity	1	\$7,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	

"Unidentified Registered Entity" Dockets 2010-2018

Date	FERC Docket Number	Region	Registered Entity	Entities	Total Penalty (\$)	NOP	Order	A2 Spreadsheet
7/29/2011	NP11-253-000	Various	Unidentified Registered Entities	8	\$26,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
8/31/2011	NP11-261-000	RFC	Unidentified Registered Entity	1	\$70,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
8/31/2011	NP11-262-000	SPP	Unidentified Registered Entity	1	\$12,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
8/31/2011	NP11-263-000	TRE	Unidentified Registered Entity	1	\$11,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
8/31/2011	NP11-264-000	SPP	Unidentified Registered Entity	1	\$8,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
8/31/2011	NP11-266-000	Various	Unidentified Registered Entities	5	\$63,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
9/30/2011	NP11-269-000	WECC	Unidentified Registered Entity	1	\$225,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
9/30/2011	NP11-270-000	Various	Unidentified Registered Entities	21	\$193,900	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
9/30/2011	RC11-6-000	Various	Unidentified Registered Entities	59	\$0	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-1 Spreadsheet &gt;&gt;</a>
10/31/2011	NP12-1-000	RFC	Unidentified Registered Entities	3	\$275,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Corrected Spreadsheet &gt;&gt;</a>
10/31/2011	NP12-2-000	Various	Unidentified Registered Entities	16	\$184,200	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
10/31/2011	RC12-1-000	Various	Unidentified Registered Entities	33	\$0	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-1 Spreadsheet &gt;&gt;</a>
11/30/2011	NP12-3-000	WECC	Unidentified Registered Entity	1	\$125,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
11/30/2011	NP12-4-000	WECC	Unidentified Registered Entity	1	\$160,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
11/30/2011	NP12-5-000	RF, WECC	Unidentified Registered Entities	12	\$89,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
11/30/2011	RC12-2-000	Various	Unidentified Registered Entities	30	\$0	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-1 Spreadsheet &gt;&gt;</a>
12/30/2011	NP12-10-000	Various	Unidentified Registered Entities	21	\$109,600	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Corrected Spreadsheet &gt;&gt;</a>
12/30/2011	NP12-9-000	RFC	Unidentified Registered Entity	1	\$60,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
12/30/2011	RC12-6-000	Various	Unidentified Registered Entities	40	\$0	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-1 Spreadsheet &gt;&gt;</a>
1/31/2012	NP12-11-000	WECC	Unidentified Registered Entity	1	\$135,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
1/31/2012	NP12-12-000	Various	Unidentified Registered Entities	18	\$160,500	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
1/31/2012	RC12-7-000	Various	Unidentified Registered Entities	30	\$0	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
2/29/2012	NP12-16-000	WECC	Unidentified Registered Entity	1	\$80,000	<a href="#">Filing</a>		
2/29/2012	NP12-17-000	SPP RE	Unidentified Registered Entity	1	\$40,000	<a href="#">Filing</a>		
2/29/2012	NP12-18-000	Various	Unidentified Registered Entities	23	\$222,900	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
2/29/2012	RC12-8-000	Various	Unidentified Registered Entities	24	\$0	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-1 Spreadsheet &gt;&gt;</a>
3/30/2012	NP12-20-000	WECC	Unidentified Registered Entity	1	\$60,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/30/2012	NP12-22-000	Various	Unidentified Registered Entities	15	\$42,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
3/30/2012	RC12-10-000	Various	Unidentified Registered Entities	12	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
4/30/2012	NP12-25-000	RFC	Unidentified Registered Entity	1	\$115,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
4/30/2012	NP12-26-000	Various	Unidentified Registered Entities	18	\$95,300	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
4/30/2012	RC12-11-000	Various	Unidentified Registered Entities	18	\$0	<a href="#">View Supplemental Filing</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
5/30/2012	NP12-27-000	Various	Unidentified Registered Entities	20	\$48,600	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet</a>
5/30/2012	NP12-29-000	WECC	Unidentified Registered Entity	1	\$162,200	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
5/30/2012	RC12-12-000	Various	Unidentified Registered Entities	40	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
6/29/2012	NP12-36-000	Various	Unidentified Registered Entities	15	\$121,900	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet</a>
6/29/2012	RC12-13-000	Various	Unidentified Registered Entities	40	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
7/31/2012	NP12-37-000	WECC	Unidentified Registered Entities	4	\$134,350	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/31/2012	NP12-38-000	WECC	Unidentified Registered Entity	1	\$72,000	<a href="#">Filing</a>	<a href="#">View Order &gt;&gt;</a>	
7/31/2012	NP12-40-000	Various	Unidentified Registered Entities	15	\$101,100	<a href="#">Filing</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
7/31/2012	RC12-14-000	Various	Unidentified Registered Entities	30	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
8/31/2012	NP12-43-000	WECC	Unidentified Registered Entity	1	\$70,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
8/31/2012	NP12-44-000	Various	Unidentified Registered Entities	16	\$182,800	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
8/31/2012	RC12-15-000	Various	Unidentified Registered Entities	38	\$0	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
9/28/2012	NP12-45-000	FRCC	Unidentified Registered Entity	1	\$150,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	

"Unidentified Registered Entity" Dockets 2010-2018

Date	FERC Docket Number	Region	Registered Entity	Entities	Total Penalty (\$)	NOP	Order	A2 Spreadsheet
9/28/2012	NP12-46-000	WECC	Unidentified Registered Entity	1	\$200,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
9/28/2012	NP12-47-000	Various	Unidentified Registered Entities	14	\$113,400	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
9/28/2012	RC12-16-000	Various	Unidentified Registered Entities	41	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
10/31/2012	NP13-1-000	WECC	Unidentified Registered Entity	1	\$200,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
10/31/2012	NP13-4-000	RFC	Unidentified Registered Entities	3	\$725,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
10/31/2012	NP13-5-000	Various	Unidentified Registered Entities	19	\$216,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
10/31/2012	RC13-1-000	Various	Unidentified Registered Entities	44	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
11/30/2012	NP13-6-000	WECC	Unidentified Registered Entity	1	\$62,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
11/30/2012	RC13-2-000	Various	Unidentified Registered Entities	25	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
12/31/2012	NP13-11-000	SPP	Unidentified Registered Entity	1	\$107,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View NOP &gt;&gt;</a>	
12/31/2012	NP13-12-000	Various	Unidentified Registered Entities	21	\$214,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
12/31/2012	NP13-16-000	WECC	Unidentified Registered Entity	1	\$207,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View NOP &gt;&gt;</a>	
12/31/2012	NP13-17-000	RFC	Unidentified Registered Entities	3	\$80,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
12/31/2012	NP13-18-000	SPP	Unidentified Registered Entity	1	\$153,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View NOP &gt;&gt;</a>	
12/31/2012	NP13-19-000	SERC	Unidentified Registered Entity	1	\$950,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View NOP &gt;&gt;</a>	
12/31/2012	RC13-3-000	Various	Unidentified Registered Entities	25	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
1/31/2013	NP13-22-000	WECC	Unidentified Registered Entity	1	\$115,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
1/31/2013	NP13-23-000	Various	Unidentified Registered Entities	22	\$73,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
1/31/2013	RC13-5-000	Various	Unidentified Registered Entities	22	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
2/28/2013	NP13-24-000	WECC	Unidentified Registered Entity	3	\$151,500	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
2/28/2013	NP13-27-000	Various	Unidentified Registered Entities	14	\$53,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
2/28/2013	RC13-6-000	Various	Unidentified Registered Entities	27	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
3/27/2013	NP13-30-000	RFC	Unidentified Registered Entity	3	\$120,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View Errata &gt;&gt;</a>
3/27/2013	NP13-28-000	Various	Unidentified Registered Entity	1	\$90,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
3/27/2013	NP13-29-000	Various	Unidentified Registered Entities	10	\$80,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
4/30/2013	NP13-32-000	NERC	Unidentified Registered Entity	1	\$40,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
4/30/2013	NP13-33-000	Various	Unidentified Registered Entities	18	\$315,250	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
4/30/2013	RC13-8-000	Various	Unidentified Registered Entities	50	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
5/30/2013	NP13-34-000	Texas RE	Unidentified Registered Entity	1	\$137,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View Errata &gt;&gt;</a>
5/30/2013	NP13-38-000	WECC	Unidentified Registered Entity	1	\$291,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
5/30/2013	NP13-39-000	Various	Unidentified Registered Entities	16	\$67,500	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
5/30/2013	RC13-9-000	Various	Unidentified Registered Entities	53	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
6/27/2013	NP13-41-000	Various	Unidentified Registered Entities	20	\$198,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
6/27/2013	RC13-10-000	Various	Unidentified Registered Entities	52	\$0	<a href="#">View Filing &gt;&gt;</a>		<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
7/31/2013	NP13-45-000	WECC	Unidentified Registered Entity	1	\$198,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
7/31/2013	NP13-46-000	Various	Unidentified Registered Entities	18	\$112,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
7/31/2013	NP13-47-000	RFC, SERC	Unidentified Registered Entities	2	\$350,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
8/30/2013	NP13-51-000	Various	Unidentified Registered Entities	18	\$98,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
9/30/2013	NP13-55-000	WECC	Unidentified Registered Entity	1	\$150,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
9/30/2013	NP13-57-000	Various	Unidentified Registered Entities	12	\$189,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
10/30/2013	NP14-4-000	RF, SERC	Unidentified Registered Entities	16	\$55,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
10/30/2013	NP14-5-000	RFC	Unidentified Registered Entity	1	\$0	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	
11/27/2013	NP14-6-000	Various	Unidentified Registered Entities	14	\$142,000	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
12/30/2013	NP14-14-000	Various	Unidentified Registered Entities	18	\$276,500	<a href="#">View Filing &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	<a href="#">View A-2 Spreadsheet &gt;&gt;</a>
12/30/2013	NP14-16-000	SERC	Unidentified Registered Entity	1	\$50,000	<a href="#">View NOP &gt;&gt;</a>	<a href="#">View Order &gt;&gt;</a>	



"Unidentified Registered Entity" Dockets 2010-2018

Date	FERC Docket Number	Region	Registered Entity	Entities	Total Penalty (\$)	NOP	Order	A2 Spreadsheet
12/30/2013	NP14-17-000	WECC	Unidentified Registered Entity	1	\$144,000	<a href="#">View NOP&gt;&gt;</a>	<a href="#">View Order&gt;&gt;</a>	
12/30/2013	NP14-18-000	SERC	Unidentified Registered Entity	1	\$110,000	<a href="#">View NOP&gt;&gt;</a>	<a href="#">View Order&gt;&gt;</a>	
12/30/2013	NP14-19-000	WECC	Unidentified Registered Entity	1	\$185,000	<a href="#">View NOP&gt;&gt;</a>	<a href="#">View Order&gt;&gt;</a>	<a href="#">View Errata&gt;&gt;</a>
12/30/2013	NP14-20-000	SERC	Unidentified Registered Entity	1	\$198,000	<a href="#">View NOP&gt;&gt;</a>	<a href="#">View Order&gt;&gt;</a>	
12/30/2013	NP14-22-000	WECC	Unidentified Registered Entity	1	\$150,000	<a href="#">View NOP&gt;&gt;</a>	<a href="#">View Order&gt;&gt;</a>	
12/31/2013	NP14-21-000	SERC	Unidentified Registered Entity	1	\$175,000	<a href="#">View NOP&gt;&gt;</a>	<a href="#">View Order&gt;&gt;</a>	
12/31/2013	NP14-23-000	SPP RE	Unidentified Registered Entity	1	\$100,000	<a href="#">View NOP&gt;&gt;</a>	<a href="#">View Order&gt;&gt;</a>	
12/31/2013	NP14-24-000	SERC	Unidentified Registered Entity	1	\$350,000	<a href="#">View NOP&gt;&gt;</a>	<a href="#">View Order&gt;&gt;</a>	
12/31/2013	NP14-25-000	SERC	Unidentified Registered Entity	1	\$250,000	<a href="#">View NOP&gt;&gt;</a>	<a href="#">View Order&gt;&gt;</a>	
12/31/2013	NP14-26-000	SERC	Unidentified Registered Entity	1	\$120,000	<a href="#">View NOP&gt;&gt;</a>	<a href="#">View Order&gt;&gt;</a>	
2014-01-30	NP14-29-000	WECC	Unidentified Registered Entity	1	\$109,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
2014-01-30	NP14-30-000	RFC	Unidentified Registered Entity	1	\$75,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
2014-02-27	NP14-32-000	SPP RE	Unidentified Registered Entity	1	\$0	<a href="#">View NOP</a>	<a href="#">View Order</a>	
2014-03-31	NP14-37-000	WECC	Unidentified Registered Entity	1	\$465,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
2014-04-30	NP14-39-000	WECC	Unidentified Registered Entity	1	\$155,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
2014-05-29	NP14-41-000	WECC	Unidentified Registered Entity	1	\$98,500	<a href="#">View NOP</a>	<a href="#">View Order</a>	
2014-05-29	NP14-42-000	SERC	Unidentified Registered Entity	1	\$250,000	<a href="#">View NOP</a>	<a href="#">View Order</a>	
2014-07-31	NP14-45-000	WECC	Unidentified Registered Entity	1	\$180,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-07-31	NP14-46-000	RFC	Unidentified Registered Entities	7	\$50,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-08-27	NP14-48-000	RFC/NPCC	Unidentified Registered Entities	3	\$625,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-10-30	NP15-5-000	SPP	Unidentified Registered Entity	1	\$45,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-10-30	NP15-6-000	TRE	Unidentified Registered Entity	1	\$106,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-11-25	NP15-10-000	WECC	Unidentified Registered Entity	1	\$150,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-11-25	NP15-11-000	RFC	Unidentified Registered Entity	1	\$75,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-11-25	NP15-9-000	MRO	Unidentified Registered Entity	1	\$150,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-12-30	NP15-13-000	RFC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-12-30	NP15-15-000	SERC	Unidentified Registered Entities	2	\$120,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-12-30	NP15-17-000	WECC	Unidentified Registered Entity	1	\$120,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2014-12-30	NP15-18-000	Multiple	Unidentified Registered Entities	10	\$124,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	<a href="#">View A-2 Spreadsheet</a>
2015-02-26	NP15-20-000	SERC	Unidentified Registered Entity	1	\$70,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2015-03-31	NP15-23-000	WECC	Unidentified Registered Entities	3	\$165,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	<a href="#">View A-2 Spreadsheet</a>
2015-04-30	NP15-24-000	RFC	Unidentified Registered Entity	1	\$150,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2015-04-30	NP15-26-000	RFC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2015-08-31	NP15-33-000	RFC	Unidentified Registered Entity	1	\$425,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2015-10-29	NP16-2-000	WECC	Unidentified Registered Entity	1	\$160,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2015-12-01	NP16-4-000	WECC	Unidentified Registered Entity	1	\$205,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2015-12-01	NP16-5-000	WECC	Unidentified Registered Entity	1	\$200,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2015-12-30	NP16-7-000	SPP	Unidentified Registered Entity	1	\$235,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2016-01-28	NP16-10-000	RF	Unidentified Registered Entity	1	\$150,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2016-01-28	NP16-9-000	WECC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2016-02-29	NP16-12-000	RF	Unidentified Registered Entity	1	\$1,700,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2016-04-28	NP16-18-000	RF / SERC	Unidentified Registered Entities	5	\$115,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	<a href="#">View A-2 Spreadsheet</a>
2016-05-31	NP16-20-000	FRCC	Unidentified Registered Entity	1	\$35,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	<a href="#">View A-2 Spreadsheet</a>
2016-07-28	NP16-23-000	SERC	Unidentified Registered Entity	1	\$225,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2016-07-28	NP16-24-000	SERC	Unidentified Registered Entity	1	\$180,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	



"Unidentified Registered Entity" Dockets 2010-2018

Date	FERC Docket Number	Region	Registered Entity	Entities	Total Penalty (\$)	NOP	Order	A2 Spreadsheet
2016-10-31	NP17-2-000	WECC	Unidentified Registered Entity	1	\$1,125,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2016-10-31	NP17-3-000	WECC	Unidentified Registered Entity	1	\$250,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2016-11-30	NP17-8-000	MRO	Unidentified Registered Entity	1	\$142,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2016-12-29	NP17-10-000	WECC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2016-12-29	NP17-11-000	WECC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Order</a>	
2016-12-29	NP17-12-000	WECC /SERC	Unidentified Registered Entities	4	\$60,000	<a href="#">View Filing</a>	<a href="#">View Order</a>	<a href="#">View A-2 Spreadsheet</a>
2016-12-29	NP17-13-000	WECC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Notice</a>	
2017-04-27	NP17-21-000	WECC	Unidentified Registered Entity	1	\$201,000	<a href="#">View Filing</a>	<a href="#">View Notice</a>	
2017-07-31	NP17-25-000	WECC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Notice</a>	<a href="#">View A-2</a>
2017-07-31	NP17-26-000	SPP RE	Unidentified Registered Entity	1	\$250,000	<a href="#">View Filing</a>	<a href="#">View Notice</a>	
2017-09-28	NP17-31-000	SERC	Unidentified Registered Entity	1	\$500,000	<a href="#">View Filing</a>	<a href="#">View Notice</a>	
2017-10-31	NP18-2-000	WECC	Unidentified Registered Entities	2	\$0	<a href="#">View Filing</a>	<a href="#">View Notice</a>	<a href="#">View A-2</a>
2018-02-28	NP18-7-000	WECC	Unidentified Registered Entity	1	\$2,700,000	<a href="#">View Filing</a>	<a href="#">View Notice</a>	
2018-05-31	NP18-14-000	RF	Unidentified Registered Entity	1	\$180,000	<a href="#">View Filing</a>	<a href="#">View Notice</a>	
2018-05-31	NP18-15-000	WECC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Notice</a>	<a href="#">View A-2</a>
2018-07-31	NP18-21-000	WECC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Notice</a>	<a href="#">View A-2</a>
2018-08-30	NP18-22-000	WECC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Notice</a>	
2018-09-27	NP18-26-000	NPCC	Unidentified Registered Entity	1	\$0	<a href="#">View Filing</a>	<a href="#">View Notice</a>	<a href="#">View A-2</a>